

Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

**Date of Report** (top-tier holding company's fiscal year-end):

**June 30, 2022**

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

I, **William C. Tracy**

Name of the Holding Company Director and Official

**President & CEO**

Title of the Holding Company Director and Official

**Auburn Bancorp MHC**

Legal Title of Holding Company

**256 Court Street / PO Box 3157**

(Mailing Address of the Holding Company) Street / P.O. Box

**Auburn**

**ME**

**04212**

City

State

Zip Code

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

Physical Location (if different from mailing address)

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Person to whom questions about this report should be directed:

**Michelle L Rouleau**

**EVP & CFO**

Name

Title

**207.782.6871 x219**

Area Code / Phone Number / Extension

**207.782.7055**

Area Code / FAX Number

**michellerouleau@auburnsavings.com**

E-mail Address

*William C. Tracy* President + CEO

Signature of Holding Company Director and Official

**09/28/2022**

Date of Signature

**www.auburnsavings.com**

Address (URL) for the Holding Company's web page

For holding companies not registered with the SEC—  
 Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report  
 will be sent under separate cover  
 is not prepared

For Federal Reserve Bank Use Only

RSSD ID \_\_\_\_\_

C.I. \_\_\_\_\_

Is confidential treatment requested for any portion of this report submission?  0=No  1=Yes

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report

2. a letter justifying this request has been provided separately ...

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."



Form FR Y-6

Auburn Bancorp, MHC

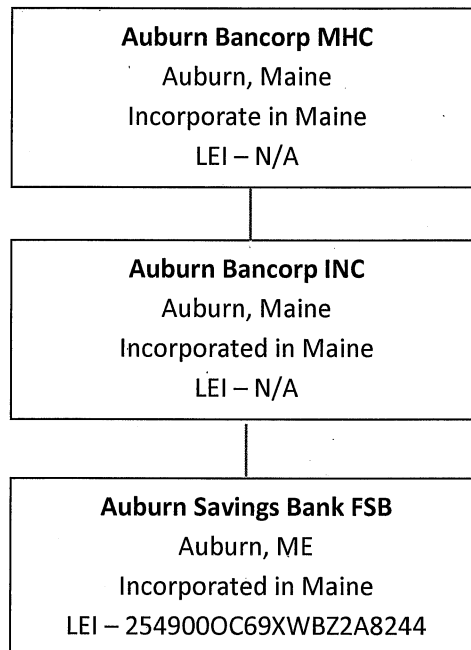
Auburn, Maine 04212

Fiscal Year Ending June 30, 2022

1. Annual Report to Shareholders

- a. The MHC has no shareholders and is not registered with the SEC. No annual Report is prepared

2a. Organizational Chart



2b. Domestic branch listing

a) Branch 1

Branch Service Type: Full Service  
Branch ID RSSD: 229173  
Popular Name: Auburn Savings Bank, FSB  
Address: 256 Court Street, Auburn, ME 04210  
County: Androscoggin  
Head Office: Auburn Savings Bank FSB  
Head Office ID\_RSSD: 229173

b) Branch 2

Branch Service Type: Full Service  
Branch ID RSSD: 229173  
Popular Name: Lewiston Branch  
Address: 325 Sabattus Street, Lewiston, ME 04240  
County: Androscoggin  
Head Office: Auburn Savings Bank FSB  
Head Office ID\_RSSD: 229173

3. Security Holders

**Auburn Bancorp MHC**

AUBURN BANCORP MHC  
Auburn, Maine  
Fiscal Year Ending 06/30/2022

**Report Item 3: Securities Holders**

Current Securities Holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 06/30/2022			Securities Holders not listed in 3(1)(a) through 3(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 06/30/2022 (but not at fiscal year-end)		
(1)(a) Name & Address (City, State, Country)	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name & Address (City, State, Country)	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
n/a	n/a	n/a	n/a	n/a	n/a

**Auburn Bancorp INC**

Auburn Bancorp INC  
Auburn, Maine  
Fiscal Year Ending 6/30/2022

**Report Item 3: Securities Holders**

Current Securities Holders with ownership, control or holdings of 5%			Securities Holders not listed in 3(1)(a) through 3(1)(c) that had		
(1)(a) Name & Address (City, State, Country)	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name & Address (City, State, Country)	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
Auburn Bancorp MHC 256 Court Street Auburn, ME 04210 USA	USA - Maine	276,806 55%	n/a	n/a	n/a

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Auburn Bancorp Inc and Auburn Bancorp MHC  
 Auburn, Maine  
 Fiscal Year Ending 06/30/2022

Report Item 4: Insiders

(1)	(2)	(3)(a)	(3)(b)	(3)(c)	(4)(a)	(4)(b)	(4)(c)
Name & Address (City, State, Country)	Principal Occupation, if other than with holding company	Title/Position with holding company	Title/Position with Subsidiaries (including subsidiary name)	Title/Position with Other Businesses (including business name)	Percentage of Voting Securities in holding company	Percentage of Voting Securities in Subsidiaries (including subsidiary name)	Percentage of Voting Securities in any other co. (including co. name) if ≥ 25%
Heather A Hunter Turner, ME USA	City Administrator	Chairperson	Chairperson Auburn Bancorp Inc. Chairperson Auburn Savings Bank, FSB	City Administrator City of Lewiston Maine	n/a	0.02% Auburn Bancorp Inc.	n/a
Thomas J Dean Auburn, ME USA	Accountant	Vice Chairman	Vice Chairman Auburn Bancorp Inc. Vice Chairman Auburn Savings Bank, FSB	Chief Financial Officer Futureguard Building Products	n/a	0.10% Auburn Bancorp Inc.	n/a
Claire D Thompson Auburn, ME USA	Certified Public Accountant	Director	Director Auburn Bancorp Inc. Director Auburn Savings Bank, FSB	Partner and Shareholder Austin Associates	n/a	0.30% Auburn Bancorp Inc.	n/a
D. Wesley Haire Auburn, ME USA	Business Owner	Director	Director Auburn Bancorp Inc. Director Auburn Savings Bank, FSB	Owner/Operator CyberSOLUTIONS	n/a	0.02% Auburn Bancorp Inc.	n/a
Debra Morin-Ouellette Poland, ME USA	Real Estate Broker	Director	Director Auburn Bancorp Inc. Director Auburn Savings Bank, FSB	Associate Broker Berkshire Hathaway Home Svcs Northeast Real estate	n/a	0.00% Auburn Bancorp Inc.	n/a
William C Tracy Wales, ME USA	n/a	President & CEO Director	President & CEO/Director Auburn Bancorp Inc. President & CEO/Director Auburn Savings Bank, FSB	n/a	n/a	0.75% Auburn Bancorp Inc.	n/a