Board of Governors of the Federal Reserve System



Annual Report of Holding Companies-

Page 1 of 2

D E E I V E

D SUPERVISION, REGULATION AND SUPER CREDIT DEPARTMENT

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

I, Daniel R. Daigneault

Name of the Holding Company Director and Official

President & CEO

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

| "Rules Regarding Availability of Information," 12 C.F.R. Part 261, |
|--|
| that the Reporter and individual consent to public release of all |
| details in the report concerning that individual. |
| tour tollamend |
| Signature of Holding Company Director and Official |
| 3/21/14 |
| Date of Signature |
| For holding companies <u>not</u> registered with the SEC- |
| Indicate status of Annual Report to Shareholders: |
| is included with the ER V-6 report |

| ☐ is included with the FR Y-6 report ☐ will be sent under separate cover ☐ is not prepared | |
|--|----------|
| For Federal Reserve Bank Use Only | + 111-11 |
| RSSD ID <u>((33937</u> C.I | |

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2013

Month / Day / Year

| Reporter | 's Name, | Street, | and I | Mailing A | Address |
|----------|----------|---------|-------|-----------|---------|
| | | | | | |

The First Bancorp, Inc.

Legal Title of Holding Company

223 Main St, PO Box 940

(Mailing Address of the Holding Company) Street / P.O. Box

Damariscotta ME 04543
City State Zip Code

Physical location (if different from mailing address)

Person to whom questions about this report should be directed:

debbie.wallace@thefirst.com

E-mail Address

www.thefirstbancorp.com

Address (URL) for the Holding Company's web page

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.

The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

| ⊠ No

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.25 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, N.W., Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

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For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies helow

| | | | | ٠ | |
|----------------------------|------------------------------|-------------------|----------------------|------------------------------------|-------------------|
| Legal Title of Subsidiar | y Holding Company | | Legal Title of Subs | idiary Holding Company | |
| (Mailing Address of the | Subsidiary Holding Company) | Street / P.O. Box | (Mailing Address o | f the Subsidiary Holding Company) | Street / P.O. Box |
| | | | | - | |
| City | State | Zip Code | City | State | Zip Code |
| Physical location (if diff | erent from mailing address) | E. | Physical location (i | if different from mailing address) | |
| Legal Title of Subsidiar | y Holding Company | | Legal Title of Subs | idiary Holding Company | |
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| Physical location (if diff | ferent from mailing address) | | Physical location (i | if different from mailing address) | |
| Legal Title of Subsidiar | y Holding Company | | Legal Title of Subs | idiary Holding Company | |
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| City | State | Zip Code | City | State | Zip Code |
| Physical location (if diff | ferent from mailing address) | | Physical location (i | if different from mailing address) | |
| Legal Title of Subsidiar | y Holding Company | | Legal Title of Subs | idiary Holding Company | |
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| City | State | Zip Code | City | State | Zip Code |
| Physical location (if diff | ferent from mailing address) | | Physical location (i | if different from mailing address) | |

Form FRY-6

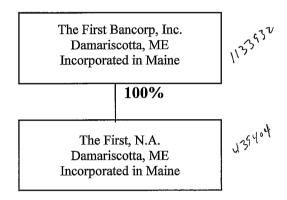
The First Bancorp, Inc. Damariscotta, Maine Fiscal Year Ending December 31, 2013

Report Item

1: a. See Form 10-K December 31, 2013 attached

1: b. Annual Report attached

2a: Organizational Chart



2b: Branch Information - Submitted 3/10/2014 via email

FRY-6 December 31, 2013 Report Item 3: Shareholders

- 1.a. BlackRock Fund Advisors 400 Howard Street San Francisco, CA
 - **b.** USA c. 541,625 5.08% Common Stock
- 2. None.

Report Item 4: Directors and Officers- The First Bancorp, Inc.

Trustees – None Partners – None Directors – as follows:

- David B. Soule, Jr. Wiscasset, ME 04578
- 2. Partner Soule, Soule & Logan Law Office
- **3.a.** Director Chairman of the Board The First Bancorp, Inc.
 - **b.** Director Chairman of the Board The First, N.A.
 - c. None
- **4.a.** 1%
 - b. None.
 - c. Soule, Soule & Logan 50%
- 1. Katherine M. Boyd Boothbay Harbor, ME 04538
- 2. Co-Owner Boothbay Region Greenhouses
- **3.a.** Director The First Bancorp, Inc.
 - **b.** Director The First, N.A.
 - c. None
- **4.a.** 1%
 - **b.** None.
 - **c.** Boothbay Region Greenhouses 50%.
- Daniel R. Daigneault Rockport, ME 04856
- 2. None.
- **3.a.** Director President & CEO The First Bancorp, Inc.
 - **b.** Director President & CEO -- The First, N.A.
 - c. None
- **4.a.** 2% (includes exercisable options)
 - **b.** None.
 - c. None.

Report Item 4: Directors and Officers- The First Bancorp, Inc.

- 1. Robert B. Gregory
 Damariscotta, ME 04543
- 2. Owner Robert B. Gregory Law Firm Owner – Damariscotta Title Company Co-Owner – NMPI, LLC
- **3.a.** Director The First Bancorp, Inc.
 - **b.** Director The First, N.A.
 - c. None
- **4.a.** 1%
 - b. None.
 - c. Robert B. Gregory Law Firm-Sole Proprietorship Damariscotta Title Company 100% NMPI, LLC 45%
- 1. \times Tony C. McKim Trenton, ME 04605
- 2. Co-Owner McKim Brothers LLC
- **3.a.** Director Executive Vice President & COO The First Bancorp, Inc.
 - **b.** Director Executive Vice President & COO -- The First, N.A.
 - c. None
- **4.a.** 1%
 - b. None.
 - c. McKim Brothers LLC 60%

Report Item 4: Directors and Officers- The First Bancorp, Inc.

- 1. ∠ Carl S. Poole, Jr. So Bristol, ME 04568
- PB Survivor Corp. 2. Poole Motor Court LLC
- Director The First Bancorp, Inc. 3.a.
 - Director The First, N.A. b.
 - None c.
- 2% 4.a.
 - None. b.
 - PB Survivor Corp. 86% c. Poole Motor Court LLC 100%
- 1. √ Mark N. Rosborough Ellsworth, ME 04605
- 2. Co Owner J. T. Rosborough, Inc.

Co Owner Rosborough Rental

Co Owner Penrose

Co Owner TISA

- Director The First Bancorp, Inc. 3.a.
 - Director The First, N.A. b.
 - None c.
- 4.a. 1%
 - None. b.
 - J.T. Rosborough 60% c.

Rosborough Rental 26%

Penrose 50%

TISA 33%

Report Item 4: Directors and Officers- The First Bancorp, Inc.

Stuart G. Smith Camden, ME 04843

2. Co-Owner – Maine Sport Outfitters

Co-Owner – Lord Camden Inn

Co-Owner - Bay View Landing, LLC

Co-Owner - Rockland Harbor Investment Property, LLC

Co-Owner – Breakwater Market Place, LLC

Co-Owner - Bay View Management, LLC

Co-Owner - Old Garage, LLC

Co-Owner - Quantabeycook, LLC

Co-Owner -135 Camden Street, LLC

Co-Owner - Grand Harbor Inn, LLC

Co-Owner – One Bay View Landing Restaurant, LLC

3.a. Director – The First Bancorp, Inc.

b. Director – The First, N.A.

c. None

4.a. 1%

b. None.

c. Maine Sport Outfitters 50%

Lord Camden Inn 50%

Bay View Landing 50%

Rockland Harbor Investment Property LLC 10%

Breakwater Market Place, LLC 50%

Bay View Management, LLC 50%

Old Garage, LLC 50%

Quantabeycook, LLC 50%

135 Camden Street, LLC 50%

Grand Harbor Inn, LLC 50%

One Bay View Landing Restaurant, LLC 50%

Report Item 4: Directors and Officers- The First Bancorp, Inc.

- 1. Bruce B. Tindal Boothbay, ME 04537
- 2. Owner Tindal & Callahan Real Estate
- **3.a.** Director The First Bancorp, Inc.
 - **b.** Director The First, N.A.
 - c. None
- **4.a.** 1%
 - b. None.
 - c. Tindal & Callahan Real Estate 100%

Officers – as follows:

- 1. V Daniel R. Daigneault, President & Chief Executive Officer (see above list of Directors)
- 1. Charles A. Wootton
 West Rockport ME 04865
- 2. None.
- **3.a.** Clerk The First Bancorp, Inc.
 - **b.** Executive Vice President & Senior Loan Officer The First, N.A.
 - c. None
- **4.a.** 1% (includes exercisable options)
 - b. None.
 - c. None.

Report Item 4: Directors and Officers- The First Bancorp, Inc.

F. Stephen Ward 1. Edgecomb, ME 04556 2. Kiwi Hanger Club 3.a. Treasurer – The First Bancorp, Inc. Executive Vice President, Chief Financial Officer - The First, N.A b. None c. 4.a. 1% (includes exercisable options) None. b. Kiwi Hanger Club 10% c. Tony C. McKim, Executive Vice President & Chief Operating Officer 1. (see above list of Directors) 1. Susan A. Norton Boothbay, ME 04537 2. None. 3.a. Executive Vice President – HR and Compliance - The First, N.A. d. e. None 1% (includes exercisable options) 4.a. None. b. None. d.

Results: A list of branches for your depository institution: FIRST NATIONAL ASSOCIATION, THE (ID_RSSD: 439404). This depository institution is held by FIRST BANCORP, INC., THE (1133932) of DAMARISCOTTA, ME

The data are as of 12/31/2013. Data reflects information that was received and processed through 01/07/2014.

Reconciliation and Verification Steps

- 1. In the **Data Action** column of each branch row, enter one or more of the actions specified below.
- 2. If required, enter the date in the **Effective Date** column.

Actions

OK: If the branch information is correct, enter 'OK' in the Data Action column.

Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date col

Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

Submission Procedure

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail. When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

Note:

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add. The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - https://y10online.federalreserve.gov.

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required

| OK | Data Action |
|--|--|
| hohish | Effective Date |
| ષ્ટ્રીપુરુ ^ષ Full Service (Head Office) | Data Action Effective Date Branch Service Type |
| | Branch ID_RSSD* Popular Name |
| 439404 FIRST NATIONAL ASSOCIATION, THE | Popular Name |
| 223 MAIN STREET | Street Address |
| DAMARISCOTTA | City |
| ME 04543 | State |
| 04543 | State Zip Code |

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| Full Service | Full Service | Full Service | Limited Service | Full Service | Full Service | Full Service | Full Service | Full Service | Full Service | Full Service | Full Service | Full Service | Full Service | Full Service | Full Service |
| 1222676 | 531205 | 1391992 | 433103 | 2622826 | 364403 | 3060630 | 2700993 | 524702 | 1464104 | 2706285 | 432601 | 501703 | 2883092 | 523808 | 4496281 |
| 1222676 WISCASSET BRANCH | 531205 WALDOBORO BRANCH | 1391992 SOUTHWEST HARBOR BRANCH | 433103 SOUTHWEST HARBOR BRANCH | 2622826 ROCKPORT BRANCH | 364403 ROCKLAND BRANCH | 3060630 PARK STREET BRANCH | 2700993 NORTHEAST HARBOR BRANCH | 524702 ELLSWORTH OFFICE | 1464104 EASTPORT BRANCH | 2706285 CAMDEN BRANCH | 432601 CALAIS OFFICE | 501703 BOOTHBAY HARBOR BRANCH | 2883092 BLUE HILL BRANCH | 523808 BAR HARBOR BRANCH | 4496281 EXCHANGE STREET BRANCH |
| 39 GARDINER ROAD | 1471 ATLANTIC HIGHWAY | 350 MAIN STREET | 350 MAIN STREET | 114 COMMERCIAL STREET SA | 63 UNION STREET | 132 PARK STREET | 3 SUMMIT ROAD, NORTHEAST HARBOR | 235 HIGH STREET | 102 WASHINGTON STREET | 19 ELM STREET | 319 NORTH STREET | 77 OAK STREET | 1 SOUTH STREET | 102 MAIN STREET | 145 EXCHANGE STREET |
| WISCASSET | WALDOBORO | SOUTHWEST HARBOR | SOUTH WEST HARBOR | ROCKPORT | ROCKLAND | ROCKLAND | MOUNT DESERT | ELLSWORTH | EASTPORT | CAMDEN | CALAIS | BOOTHBAY HARBOR | BLUE HILL | BAR HARBOR | BANGOR |
| <u>M</u> E | ME. | ME | ME | ME | ME | ME | ME | ME | ME · | ME | ME | ME | ME | ME | ME |
| 04578 | 04572 | 04679 | 04679 | 04856 | 04841 | 04841 | 04662 | 04605 | 04631 | 04843 | 04619 | 04538 | 04614 | 04609 | 04401 |

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| | 439404 | O FIRST NATIONAL ASSOCIATION, THE | | 2791 | UNITED STATES | LINCOLN |
|----------|----------------------|-----------------------------------|---|--------------|---------------|---------|
| Comments | Head Office ID_RSSD* | Head Office | FDIC UNINUM* Office Number* Head Office | FDIC UNINUM* | Country | County |
| | | | | | | |

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