

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

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APR 2 2018

Supervision, Regulation and  
Credit Department

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Clayton G. Deutsch

Name of the Holding Company Director and Official

Chief Executive Officer and President

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Clayton G. Deutsch

Signature of Holding Company Director and Official

April 23, 2018

Date of Signature

For holding companies not registered with the SEC—  
Indicate status of Annual Report to Shareholders:

- ☐ is included with the FR Y-6 report  
☐ will be sent under separate cover  
☐ is not prepared

For Federal Reserve Bank Use Only

RSSD ID 1240078  
C.I. \_\_\_\_\_

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2017

Month / Day / Year

9LC5YYBM83TLRL460X73

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Boston Private Financial Holdings, Inc.

Legal Title of Holding Company

Ten Post Office Square

(Mailing Address of the Holding Company) Street / P.O. Box

Boston

MA

City

State

Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Kathryn Cabral

Paralegal

Name

Title

(617) 912-3910

Area Code / Phone Number / Extension

(617) 912-4551

Area Code / FAX Number

kcabral@bostonprivate.com

E-mail Address

www.bostonprivate.com

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? .....

0=No

1=Yes

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report .....

2. a letter justifying this request has been provided separately ...

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Boston Private Financial Holdings, Inc.

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Item 1

Boston Private Financial Holdings, Inc.

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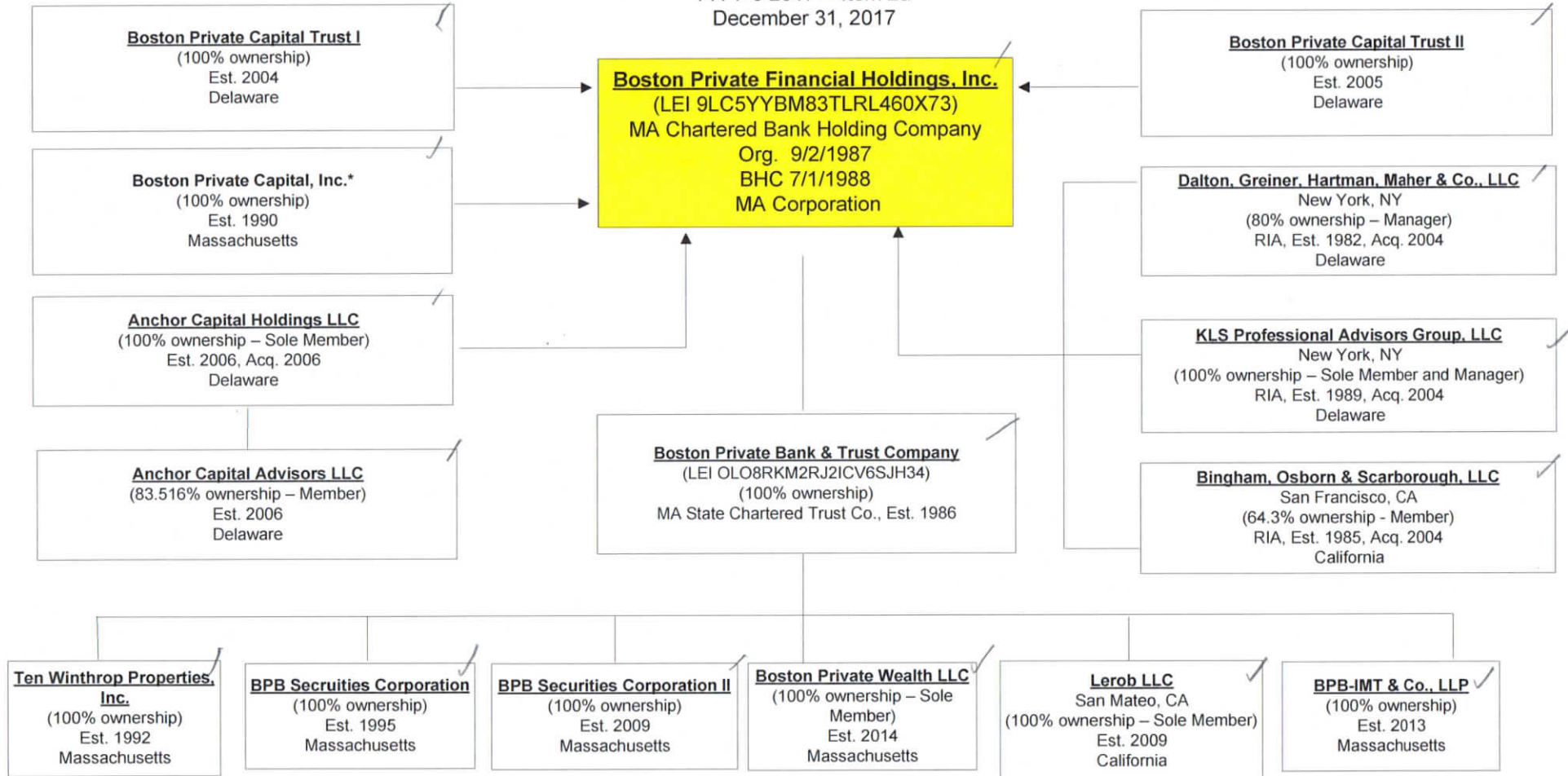
Item 2a

# BOSTON PRIVATE

WEALTH ▢ TRUST ▢ PRIVATE BANKING

## Legal Entity Organizational Chart

FR Y-6 2017 -- Item 2a  
December 31, 2017



\*100% ownership of Boston Private Capital, Inc. transferred from Boston Private Bank & Trust Company to Boston Private Financial Holdings, Inc. on 7-26-2017  
--Unless otherwise indicated, physical address is Boston, Massachusetts.  
--LEI provided where applicable.

Boston Private Financial Holdings, Inc.

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Item 2b



**Results:** A list of branches for your depository institution: **BOSTON PRIVATE BANK & TRUST COMPANY (ID\_RSSD: 964700)**.  
This depository institution is held by **BOSTON PRIVATE FINANCIAL HOLDINGS, INC. (1248078)** of **BOSTON, MA**.  
The data are as of **12/31/2017**. Data reflects information that was received and processed through **01/04/2018**.

**Reconciliation and Verification Steps**

- 1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
- 2. If required, enter the date in the **Effective Date** column

**Actions**

- OK:** If the branch information is correct, enter 'OK' in the **Data Action** column.
- Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.
- Close:** If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.
- Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.
- Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.  
If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:  
To satisfy the **FR Y-10 reporting requirements**, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.  
The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

| Data Action | Effective Date | Branch Service Type        | Branch ID_RSSD* | Popular Name                        | Street Address                    | City             | State | Zip Code | County        | Country       | FDIC UNINUM* | Office Number* | Head Office                         | Head Office ID_RSSD* | Comments |
|-------------|----------------|----------------------------|-----------------|-------------------------------------|-----------------------------------|------------------|-------|----------|---------------|---------------|--------------|----------------|-------------------------------------|----------------------|----------|
| OK          |                | Full Service (Head Office) | 964700          | BOSTON PRIVATE BANK & TRUST COMPANY | TEN POST OFFICE SQUARE            | BOSTON           | MA    | 02109    | SUFFOLK       | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 4893611         | BEVERLY HILLS BRANCH ✓              | 225 NORTH BEVERLY DRIVE           | BEVERLY HILLS    | CA    | 90210    | LOS ANGELES   | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3689769         | BURLINGAME BRANCH ✓                 | 1440 CHAPIN AVENUE                | BURLINGAME       | CA    | 94010    | SAN MATEO     | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 193461          | VENTURA BOULEVARD BRANCH ✓          | 16000 VENTURA BOULEVARD           | ENCINO           | CA    | 91436    | LOS ANGELES   | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3627949         | LOS ALTOS BRANCH ✓                  | 345 SOUTH SAN ANTONIO ROAD        | LOS ALTOS        | CA    | 94022    | SANTA CLARA   | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 5173637         | LOS ANGELES BRANCH ✓                | 801 S. FIGUEROA STREET, SUITE 100 | LOS ANGELES      | CA    | 90017    | LOS ANGELES   | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3510733         | PALO ALTO BRANCH ✓                  | 420 COWPER STREET                 | PALO ALTO        | CA    | 94301    | SANTA CLARA   | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 4616388         | PASADENA BRANCH                     | 345 E. COLORADO BOULEVARD         | PASADENA         | CA    | 91101    | LOS ANGELES   | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3510724         | SAN FRANCISCO BRANCH ✓              | 433 CALIFORNIA STREET             | SAN FRANCISCO    | CA    | 94104    | SAN FRANCISCO | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 4616379         | SAN JOSE BRANCH ✓                   | 60 SOUTH MARKET STREET            | SAN JOSE         | CA    | 95113    | SANTA CLARA   | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 208169          | BOVET ROAD BRANCH ✓                 | 160 BOVET ROAD                    | SAN MATEO        | CA    | 94402    | SAN MATEO     | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3579543         | SANTA MONICA BROADWAY BRANCH ✓      | 520 BROADWAY                      | SANTA MONICA     | CA    | 90401    | LOS ANGELES   | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3297128         | WESTLAKE VILLAGE BRANCH ✓           | 971 S WESTLAKE BOULEVARD          | WESTLAKE VILLAGE | CA    | 91361    | VENTURA       | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3770872         | BEVERLY BRANCH ✓                    | 57 ENON STREET                    | BEVERLY          | MA    | 01915    | ESSEX         | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 2918725         | BOYLSTON STREET BRANCH ✓            | 500 BOYLSTON STREET               | BOSTON           | MA    | 02116    | SUFFOLK       | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 4333135         | PRUDENTIAL CENTER BRANCH ✓          | 800 BOYLSTON STREET               | BOSTON           | MA    | 02199    | SUFFOLK       | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3223640         | SEAPORT BOULEVARD BRANCH ✓          | 157 SEAPORT BOULEVARD             | BOSTON           | MA    | 02210    | SUFFOLK       | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 4292634         | BROOKLINE BRANCH ✓                  | 1295A BEACON STREET               | BROOKLINE        | MA    | 02446    | NORFOLK       | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3093218         | CAMBRIDGE CENTER BRANCH ✓           | 265 MAIN STREET, KENDALL SQUARE   | CAMBRIDGE        | MA    | 02142    | MIDDLESEX     | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3450941         | HINGHAM BRANCH ✓                    | 7 CENTRAL STREET                  | HINGHAM          | MA    | 02043    | PLYMOUTH      | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Limited Service            | 3770881         | JAMAICA PLAIN LOAN CENTER BRANCH ✓  | 401C CENTRE STREET                | JAMAICA PLAIN    | MA    | 02130    | SUFFOLK       | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3398128         | LEXINGTON BRANCH ✓                  | 1666 MASS AVE                     | LEXINGTON        | MA    | 02420    | MIDDLESEX     | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 3140215         | CENTRE STREET BRANCH ✓              | 1223 CENTRE STREET                | NEWTON CENTER    | MA    | 02459    | MIDDLESEX     | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |
| OK          |                | Full Service               | 2686556         | WELLESLEY BRANCH ✓                  | 336 WASHINGTON STREET             | WELLESLEY HILLS  | MA    | 02481    | NORFOLK       | UNITED STATES | Not Required | Not Required   | BOSTON PRIVATE BANK & TRUST COMPANY | 964700               |          |

Boston Private Financial Holdings, Inc.

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Item 3

**Report Item 3: Securities holders****Form FR Y-6****(1)(a)(b)(c)****December 31, 2016**

Current securities holders with ownership, control or holdings of 5% or more  
with power to vote as of fiscal year ending 12-31-2016

| <b>(1)(a)<br/>Name,<br/>City, State, Country</b>                 | <b>(1)(b)<br/>Country of Citizenship or<br/>Incorporation</b> | <b>(1)(c)<br/>Number and<br/>Percentage of each<br/>Class of Voting<br/>Securities</b> |
|--|---|--|
| BlackRock Institutional Trust Company, N.A.<br>New York, NY, USA | USA   | 8,972,700 / 10.79%<br>common stock   |
| The Vanguard Group, Inc.<br>Malvern, PA, USA                     | USA   | 7,144,643 / 8.59%<br>common stock  |
| Dimensional Fund Advisors L.P.<br>Austin, TX, USA                | USA   | 5,389,750 / 6.48%<br>common stock  |



Boston Private Financial Holdings, Inc.

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Item 4

# Form FR Y-6

December 31, 2017

## Report Item 4: Directors and Officers

(1) (2) (3)(a)(b)(c) and (4)(a)(b)(c)

| (1)<br>Names &<br>Address<br>(City, State,<br>Country) | (2)<br>Principal<br>Occupation if other<br>than with Bank Holding<br>Company | (3)(a)<br>Title & Position<br>with Bank Holding<br>Company | (3)(b)<br>Title & Position<br>with Subsidiaries<br>(include names of<br>subsidiaries) | (3)(c)<br>Title & Position<br>with Other<br>Business<br>(include names<br>of other<br>business)  | (4)(a)<br>Percentage of<br>Voting Shares in<br>Bank Holding<br>Company | (4)(b)<br>Percentage of<br>Voting Shares in<br>Subsidiaries<br>(include Names<br>of Subsidiaries) | (4)(c)<br>List names of<br>other companies<br>(includes<br>partnerships)<br>If 25% or more of<br>voting securities are<br>held (List names<br>of companies and<br>percentage of voting<br>securities held) |
|--|--|--|---|--|--|---|--|
| Mark F. Furlong<br>Chicago, IL, USA                    | N/A  | Director   | Director/Boston Private Bank & Trust Company  | Director/Kforce, Inc.<br>Director/Antares Capital  | Less than 1%   | N/A   | N/A  |
| Joseph C. Guyaux<br>Pittsburgh, PA, USA                | N/A  | Director   | Director/Boston Private Bank & Trust Company  | Director, Chairman/DQE Holdings LLC<br>Director, Chairman/Duquesne Light Company<br>Director, Chairman/Highmark Health<br>Director/Highmark, Inc.  | Less than 1%   | N/A   | N/A  |
| Deborah Foye Kuenstner<br>Boston, MA, USA              | Chief Investment<br>Officer/Wellesley College                                | Director   | Director/Boston Private Bank & Trust Company  | Chief Investment Officer/Wellesley College   | Less than 1%   | N/A   | N/A  |
| Gloria C. Larson<br>Yarmouth Port, MA, USA             | President/Bentley University   | Director   | Director/Boston Private Bank & Trust Company  | President/Bentley University<br>Director/Unum Group  | Less than 1%   | N/A   | N/A  |
| John L. Morton III<br>Annapolis, MD USA                | Private Investor   | Director   | Director/Boston Private Bank & Trust Company  | Commissioner/Maryland State Lottery and Gaming Control<br>Commission<br>Director/Barry-Wehmiller Companies Inc.<br>Director/U.S. Naval Institute<br>Trustee/Athletic & Scholarship Program of U.S. Naval<br>Academy Foundation | Less than 1%   | N/A   | N/A  |
| Daniel P. Nolan<br>Loudonville, NY, USA                | President and CEO/Hugh<br>Johnson Advisors, LLC                              | Director   | Director/Boston Private Bank & Trust Company  | President and CEO/Hugh Johnson Advisors, LLC<br>Principal/NPV Capital, LLC   | Less than 1%   | N/A   | Hugh Johnson Advisors,<br>LLC/31%<br>NPV Capital, LLC/100%<br>Marsh Harbor Realty<br>Holdings LLC/43.75%<br>Fourth Avenue Partners II,<br>LLC/52%<br>Nashville Cats LLC/25%                                |

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| (1)<br>Names &<br>Address<br>(City, State,<br>Country)               | (2)<br>Principal<br>Occupation if other<br>than with Bank Holding<br>Company      | (3)(a)<br>Title & Position<br>with Bank Holding<br>Company | (3)(b)<br>Title & Position<br>with Subsidiaries<br>(include names of<br>subsidiaries) | (3)(c)<br>Title & Position<br>with Other<br>Business<br>(include names<br>of other<br>business)  | (4)(a)<br>Percentage of<br>Voting Shares in<br>Bank Holding<br>Company | (4)(b)<br>Percentage of<br>Voting Shares in<br>Subsidiaries<br>(include Names<br>of Subsidiaries) | (4)(c)<br>List names of<br>other companies<br>(includes<br>partnerships)<br>If 25% or more of<br>voting securities are<br>held (List names<br>of companies and<br>percentage of voting<br>securities held) |
|--|---|--|---|--|--|---|--|
| Kimberly S. Stevenson<br>Troutman, NC                                | SVP and General Manager of<br>Data Center<br>Infrastrucutre/Lenovo                | Director   | Director/Boston Private Bank & Trust Company  |  | Less than 1%   | N/A   | N/A  |
|  |   |  |   |  |  |   |  |
| Stephen M. Waters<br>Greenwich, CT, USA                              | Founding and Managing Partner,<br>Compass Partners Advisors LLP                   | Chairman/Director  | Chairman/Boston Private Bank & Trust Company  | Founding and Managing Partner/Compass Partners<br>Advisors LLP   | Less than 1%   | N/A   | Compass Partners<br>Advisors LLP   |
|  |   |  |   | Director/Valero Energy Corporation   |  |   | Compass Partners<br>Advisors LLP   |
|  |   |  |   |  |  |   | Compass Partners<br>Investments Co-Invest<br>Fund LP   |
|  |   |  |   |  |  |   | Stephen and Sandra<br>Waters Foundation  |
|  |   |  |   |  |  |   | Waters International II LLC  |
|  |   |  |   |  |  |   | Waters International   |
|  |   |  |   |  |  |   | Compass Partners<br>Investments LLC  |
|  |   |  |   |  |  |   | Sandra N. Waters Irrev<br>Insurance Trust  |
|  |   |  |   |  |  |   |  |
| Donna C. Wells<br>Portola Valley, CA, USA<br>[Resigned on 2/16/2018] | Director/President and<br>CEO/Mindflash Technologies,<br>Inc. [retired June 2017] | Director   | Director/Boston Private Bank & Trust Company  | Director/President and CEO/Mindflash Technologies, Inc.<br>[retired June 2017]   | Less than 1%   | N/A   | N/A  |
|  |   |  |   |  |  |   |  |
| Lizabeth H. Zlatkus<br>Glastonbury, CT, USA                          | N/A   | Director   | Director/Boston Private Bank & Trust Company  | Director/Indivior PC<br>SE2 Holdings, Inc.<br>Trustee/Connecticut Science Center Trustee Board<br>Member/Penn State University Business School Board | Less than 1%   | N/A   | N/A  |
|  |   |  |   |  |  |   |  |

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| (1)<br>Names &<br>Address<br>(City, State,<br>Country) | (2)<br>Principal<br>Occupation if other<br>than with Bank Holding<br>Company | (3)(a)<br>Title & Position<br>with Bank Holding<br>Company | (3)(b)<br>Title & Position<br>with Subsidiaries<br>(include names of<br>subsidiaries) | (3)(c)<br>Title & Position<br>with Other<br>Business<br>(include names<br>of other<br>business) | (4)(a)<br>Percentage of<br>Voting Shares in<br>Bank Holding<br>Company | (4)(b)<br>Percentage of<br>Voting Shares in<br>Subsidiaries<br>(include Names<br>of Subsidiaries) | (4)(c)<br>List names of<br>other companies<br>(includes<br>partnerships)<br>If 25% or more of<br>voting securities are<br>held (List names<br>of companies and<br>percentage of voting<br>securities held) |
|--|--|--|---|---|--|---|--|
| Margaret W. Chambers<br>Sudbury, MA, USA               | N/A  | EVP/General<br>Counsel/Corporate<br>Secretary              | Director, KLS Professional Advisors Group, LLC  | N/A   | Less than 1%   | N/A   | N/A  |
| Clayton G. Deutsch<br>Boston, MA, USA                  | N/A  | Director/CEO and<br>President                              | Director/Boston Private Bank & Trust Company  | Director, Courageous Sailing Center   | Less than 1%   | N/A   | N/A  |
|  |  |  | Director/Dalton, Greiner, Hartman, & Maher Co., LLC                                   | Director, International Yacht Restoration School  |  |   |  |
|  |  |  | Director/Bingham Osborn & Scarborough, LLC  | Board of Overseers, Beth Israel Deaconess Medical<br>Center                                     |  |   |  |
|  |  |  | Director/Anchor Capital Advisors, LLC   |   |  |   |  |
|  |  |  | Director/KLS Professional Advisors Group, LLC   |   |  |   |  |
|  |  |  | Director/Boston Private Wealth LLC  |   |  |   |  |
| Corey A. Griffin<br>Wellesley, MA, USA                 | N/A  | Executive Vice<br>President                                | CEO, Boston Private Wealth LLC  | N/A   | Less than 1%   | N/A   | N/A  |
|  |  |  | EVP, CEO-Wealth Management and Trust Segment  |   |  |   |  |
|  |  |  | Director/Boston Private Bank & Trust Company  |   |  |   |  |
|  |  |  | Director/Boston Private Wealth LLC  |   |  |   |  |
|  |  |  | Director/Dalton, Greiner, Hartman, & Maher Co., LLC                                   |   |  |   |  |
| Martha T. Higgins<br>Brookline, MA, USA                | N/A  | EVP/Chief Human<br>Resources Officer                       | N/A   | N/A   | Less than 1%   | N/A   | N/A  |
| David J. Kaye<br>Wellesley, MA, USA                    | N/A  | EVP /Chief Financial<br>and Administrative<br>Officer      | Director/Anchor Capital Advisors, LLC   | N/A   | Less than 1%   | N/A   | N/A  |
|  |  |  | Director/Bingham Osborn & Scarborough, LLC  |   |  |   |  |
|  |  |  | Director/Boston Private Bank & Trust Company  |   |  |   |  |
|  |  |  | Director/Boston Private Wealth LLC  |   |  |   |  |
| W. Timothy MacDonald<br>Portsmouth, NH, USA            | N/A  | EVP/Chief Risk<br>Officer                                  | N/A   | N/A   | Less than 1%   | N/A   | N/A  |
| Jacqueline S. Shoback<br>Brookline, MA, USA            | N/A  | EVP/Chief Client<br>Development Officer                    | N/A   | Director, Harvard Student Agencies<br>Director, CUNA Mutual Group                               | Less than 1%   | N/A   | N/A  |