Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 9(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 156, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5466(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Heather Hunter
Name of the Holding Company Director and Official
Chairperson of the Board
Title of the Holding Company Director and Official

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board’s “Rules Regarding Availability of Information,” 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official

1/26/2020

Date of Signature

For holding companies not registered with the SEC—Indicate status of Annual Report to Shareholders:

☐ is included with the FR Y-6 report
☐ will be sent under separate cover
☒ is not prepared

For Federal Reserve Bank Use Only

RSSD ID 23104934
C.I. 1,2,3

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail on who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

Date of Report (top-tier holding company's fiscal year-end):
June 30, 2018
Month / Day / Year

Report's Legal Entity Identifier (LEI): (20 Character LEI Code)

Reportee's Name, Street, and Mailing Address

Auburn Bancorp MHC
Legal Title of Holding Company
256 Court Street / PO Box 3157
(Mailing Address of the Holding Company) Street / P.O. Box
Auburn ME 04212
City State Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:
David J Krause
CFO & SVP
Name Title
207-782-0400
Area Code / Phone Number / Extension
207-782-5444
Area Code / Fax Number
davekrause@auburnsavings.com
E-mail Address
www.auburnsavings.com
Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? ☐
☐

In accordance with the General Instructions for this report (check only one):

☐ a letter justifying this request is being provided along with the report

☐ a letter justifying this request has been provided separately

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Pubic reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

12/2019
For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

<table>
<thead>
<tr>
<th>Auburn Bancorp Inc.</th>
<th>Legal Title of Subsidiary Holding Company</th>
</tr>
</thead>
<tbody>
<tr>
<td>256 Court Street / PO Box 3157</td>
<td>(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box</td>
</tr>
<tr>
<td>Auburn</td>
<td>ME</td>
</tr>
<tr>
<td>City</td>
<td>State</td>
</tr>
<tr>
<td>Physical Location (if different from mailing address)</td>
<td></td>
</tr>
</tbody>
</table>

| | Legal Title of Subsidiary Holding Company |
| | (Mailing Address of the Subsidiary Holding Company) Street / P.O. Box |
| | City | State | Zip Code |
| Physical Location (if different from mailing address) |

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| Physical Location (if different from mailing address) |

12/2012
1. Annual Report to Shareholders
   a. The MHC has no shareholders and is not registered with the SEC. No annual Report is prepared.

2a. Organizational Chart

   **Auburn Bancorp MHC**
   Auburn, Maine
   Incorporate in Maine
   LEI – N/A

   **Auburn Bancorp INC**
   Auburn, Maine
   Incorporated in Maine
   LEI – N/A

   **Auburn Savings Bank FSB**
   Auburn, ME
   Incorporated in Maine
   LEI – 254900OC69XWB2Z2A8244

2b. Domestic branch listing

   a) Branch 1
      Branch Service Type: Full Service
      Branch ID RSSD: 229173
      Popular Name: Auburn Savings Bank, FSB
      Address: 256 Court Street, Auburn, ME 04210
      County: Androscoggin
      Head Office: Auburn Savings Bank FSB
      Head Office ID_RSSD: 229173

   b) Branch 2
      Branch Service Type: Full Service
      Branch ID RSSD: 229173
      Popular Name: Lewiston Branch
      Address: 325 Sabattus Street, Lewiston, ME 04240
      County: Androscoggin
      Head Office: Auburn Savings Bank FSB
      Head Office ID_RSSD: 229173
3. Security Holders

**Auburn Bancorp MHC**

<table>
<thead>
<tr>
<th>Current Securities Holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending MM/DD/YYYY</th>
<th>Securities Holders not listed in 3(1)(a) through 3(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending MM/DD/YYYY (but not at fiscal year-end)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name &amp; Address (City, State, Country)</td>
<td>Country of Citizenship or Incorporation</td>
</tr>
<tr>
<td>n/a</td>
<td>n/a</td>
</tr>
</tbody>
</table>

**Auburn Bancorp INC**

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<td>Name &amp; Address (City, State, Country)</td>
<td>Country of Citizenship or Incorporation</td>
</tr>
<tr>
<td>Auburn Bancorp MHC 256 Court Street Auburn, ME 04210 USA</td>
<td>USA - Maine</td>
</tr>
</tbody>
</table>
4. Insiders

**Philip R. St. Pierre**
Lewiston, Maine
USA
Principle occupation - Business Owner
MHC title - Chairman
INC title - Chairman
Other business title - Victor News owner
% of shares in Inc - 0.48%
% voting shares in Sub - NA
List names 25% voting - NA

**Heather A. Hunter**
Turner, Maine
USA
Principle occupation - Accountant
MHC title - Vice Chairman
INC title - Vice Chairman
Other business title - City of Lewiston
% of shares in Inc - 0.02%
% voting shares in Sub - NA
List names 25% voting - NA

**Claire D. Thompson**
Auburn, Maine
USA
Principle occupation - CPA
MHC title - Director
INC title - Director
Other business title - Austin Associates partner and shareholder
% of shares in Inc - 0.30%
% voting shares in Sub - NA
List names 25% voting - NA
Anne M. Torregrossa
Durham, Maine
USA
Principle occupation - Attorney
MHC title - Director
INC title - Director
Other business title - City of Portland
% of shares in Inc - 0.02%
% voting shares in Sub - NA
List names 25% voting - NA

Thomas J. Dean
Auburn, Maine
USA
Principle occupation - Accountant
MHC title - Director
INC title - Director
Other business title - Futureguard Building Products CFO
% of shares in Inc - 0.10%
% voting shares in Sub - NA
List names 25% voting - NA

William C. Tracy
Wales, Maine
USA
Principle occupation - President and CEO
MHC title - President and CEO
INC title - President and CEO
Other business title - None
% of shares in Inc - 0.27%
% voting shares in Sub - NA
List names 25% voting - NA

Martha L. Adams
Lewiston, Maine
USA
Principle occupation - EVP and Chief Operating Officer
MHC title - EVP and Chief Operating Officer
INC title - EVP and Chief Operating Officer
Other business title - None
% of shares in Inc - 0.48%
% voting shares in Sub - NA
List names 25% voting - NA
| **David J. Krause**  
Cape Elizabeth, Maine  
USA | **SVP and Chief Financial Officer** |
<table>
<thead>
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<th></th>
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</tr>
</thead>
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<td><strong>Principle occupation</strong></td>
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<td><strong>INC title</strong></td>
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</tr>
<tr>
<td><strong>Other business title</strong></td>
<td>None</td>
</tr>
<tr>
<td><strong>% of shares in Inc</strong></td>
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</tr>
<tr>
<td><strong>List names 25% voting</strong></td>
<td>NA</td>
</tr>
<tr>
<td>(1) Name &amp; Address (City, State, Country)</td>
<td>(2) Principal Occupation, if other than with holding company</td>
</tr>
<tr>
<td>----------------------------------------</td>
<td>-------------------------------------------------</td>
</tr>
<tr>
<td>Philip R St. Pierre</td>
<td>Business Owner</td>
</tr>
<tr>
<td>Heather A Hunter Turner, ME USA</td>
<td>Accountant</td>
</tr>
<tr>
<td>Claire D Thompson Auburn, ME USA</td>
<td>Certified Public Accountant</td>
</tr>
<tr>
<td>Anne M Torregrossa Durham, ME USA</td>
<td>Attorney</td>
</tr>
<tr>
<td>Thomas J Dean Auburn, ME USA</td>
<td>Accountant</td>
</tr>
<tr>
<td>William C Tracy Wales, ME USA</td>
<td>n/a</td>
</tr>
<tr>
<td>Martha L Adams Lewiston, ME</td>
<td>n/a</td>
</tr>
<tr>
<td>David J Krause Cape Elizabeth, ME USA</td>
<td>n/a</td>
</tr>
</tbody>
</table>