Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law. Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3108(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611(a); and sections 113, 185, 312, 618, and 806 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1650a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

James J. Mawn
Name of the Holding Company Director and Officer
Director and President
Title of the Holding Company Director and Officer

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board’s “Rules Regarding Availability of Information,” 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Officer
March 27, 2019
Date of Signature

For holding companies not registered with the SEC—indicate status of Annual Report to Shareholders:
☐ is included with the FR Y-6 report
☐ will be sent under separate cover
☐ is not prepared

For Federal Reserve Bank Use Only

Rسس ID
1246935
C.I. 5

Date of Report (top-tier holding company's fiscal year-end):
December 31, 2018
Month / Day / Year

N/A
Reporter's Legal Entity Identifier (LEI) (20 Character LEI Code)

Reporters Name, Street, and Mailing Address

Northern Bancorp, Inc.
Legal Title of Holding Company
275 Mishawum Road
Mailing Address of the Holding Company Street / P.O. Box
Woburn MA 01801
City State Zip Code

same
Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:
Francis Kenney
CFO
Name Title
(617) 937-5400
Area Code / Phone Number / Extension
(617) 937-5416
Area Code / FAX Number
fkenney@nbtc.com
E-mail Address

www.nbtc.com
Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? 
☐ Yes

In accordance with the General Instructions for this report (check only one):
1. a letter justifying this request is being provided along with the report ...
☐
2. a letter justifying this request has been provided separately ...
☐

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."
AMENDED REPORT ITEM 2A:  Organizational Chart (business address for all entities is
275 Mishawum Road, Woburn, MA 01801; all entities
incorporated in Massachusetts; LEI is not applicable unless otherwise noted)

<table>
<thead>
<tr>
<th>(100%)</th>
</tr>
</thead>
</table>

NORTHERN BANCORP, INC.

Northern Bank & Trust Company
(LEI #: 549300EE39SNC5DXTC46)

<table>
<thead>
<tr>
<th>(100%)</th>
<th>(100%)</th>
<th>(100%)</th>
<th>(100%)</th>
<th>(100%)</th>
<th>(100%)</th>
</tr>
</thead>
</table>

N.B. & T. Securities Corp. II  N.B. & T. Securities Corp. IV  NBTC Realty LLC*  289 Great Road Realty Trust  Northern 1031 Exchange LLC**  NBTC Great Road, LLC*

* Northern Bank & Trust Company is sole member and appoints individual bank officers as managers.

** Northern Bank & Trust Company is sole member and managing member.
REPORT ITEM 2B (DOMESTIC BRANCH LISTING): Attached is a copy of the domestic branch listing for Northern Bancorp, Inc. (#1246935). There are no changes to the listing as of fiscal year end 2018.
## Memo

**Effective Date:**

**Branch Name:**

**Branch Type:**

**System:**

**Branch ID:**

**City:**

**State:**

**Zip Code:**

**Country:**

**Routing Number:**

**Office Number:**

**Head Office:**

**Head Office Address:**

<table>
<thead>
<tr>
<th>Date</th>
<th>Branch Name</th>
<th>Branch Type</th>
<th>System</th>
<th>Branch ID</th>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
<th>Country</th>
<th>Routing Number</th>
<th>Office Number</th>
<th>Head Office</th>
<th>Head Office Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/01/2020</td>
<td>Main Branch</td>
<td>Full Service</td>
<td>000000</td>
<td>000000</td>
<td>AHAC</td>
<td>NA</td>
<td>00000</td>
<td>UNITED STATES</td>
<td>00000</td>
<td>00000</td>
<td>0000000000</td>
<td>0000000000</td>
</tr>
<tr>
<td>12/01/2020</td>
<td>Downtown Branch</td>
<td>Full Service</td>
<td>000000</td>
<td>000000</td>
<td>DOWTOWN</td>
<td>NA</td>
<td>00000</td>
<td>UNITED STATES</td>
<td>00000</td>
<td>00000</td>
<td>0000000000</td>
<td>0000000000</td>
</tr>
<tr>
<td>12/01/2020</td>
<td>Midtown Branch</td>
<td>Full Service</td>
<td>000000</td>
<td>000000</td>
<td>MIDTOWN</td>
<td>NA</td>
<td>00000</td>
<td>UNITED STATES</td>
<td>00000</td>
<td>00000</td>
<td>0000000000</td>
<td>0000000000</td>
</tr>
<tr>
<td>12/01/2020</td>
<td>South Branch</td>
<td>Full Service</td>
<td>000000</td>
<td>000000</td>
<td>SOUTH</td>
<td>NA</td>
<td>00000</td>
<td>UNITED STATES</td>
<td>00000</td>
<td>00000</td>
<td>0000000000</td>
<td>0000000000</td>
</tr>
<tr>
<td>12/01/2020</td>
<td>East Branch</td>
<td>Full Service</td>
<td>000000</td>
<td>000000</td>
<td>EAST</td>
<td>NA</td>
<td>00000</td>
<td>UNITED STATES</td>
<td>00000</td>
<td>00000</td>
<td>0000000000</td>
<td>0000000000</td>
</tr>
<tr>
<td>12/01/2020</td>
<td>West Branch</td>
<td>Full Service</td>
<td>000000</td>
<td>000000</td>
<td>WEST</td>
<td>NA</td>
<td>00000</td>
<td>UNITED STATES</td>
<td>00000</td>
<td>00000</td>
<td>0000000000</td>
<td>0000000000</td>
</tr>
<tr>
<td>12/01/2020</td>
<td>North Branch</td>
<td>Full Service</td>
<td>000000</td>
<td>000000</td>
<td>NORTH</td>
<td>NA</td>
<td>00000</td>
<td>UNITED STATES</td>
<td>00000</td>
<td>00000</td>
<td>0000000000</td>
<td>0000000000</td>
</tr>
<tr>
<td>12/01/2020</td>
<td>Central Branch</td>
<td>Full Service</td>
<td>000000</td>
<td>000000</td>
<td>CENTRAL</td>
<td>NA</td>
<td>00000</td>
<td>UNITED STATES</td>
<td>00000</td>
<td>00000</td>
<td>0000000000</td>
<td>0000000000</td>
</tr>
</tbody>
</table>

**Notes:**

- **Effective Date:** The date on which the change takes effect.
- **Branch Name:** The name of the branch.
- **Branch Type:** Whether the branch is a full service or a modified full service.
- **System:** The system identifier.
- **Branch ID:** The branch identification number.
- **City:** The city where the branch is located.
- **State:** The state where the branch is located.
- **Zip Code:** The zip code of the branch.
- **Country:** The country where the branch is located.
- **Routing Number:** The routing number of the branch.
- **Office Number:** The office number of the branch.
- **Head Office:** The head office of the branch.
- **Head Office Address:** The address of the head office.

**Verification and Certification:**

1. By the Data Action column, enter "OK" in the Data Action column.
2. If necessary, enter the data in the Effective Date column.

**Additions:**

- If the branch information is correct, enter "OK" in the Data Action column.
- If the branch information is incorrect or incomplete, enter "Correct" in the Data Action column and the data when the information becomes valid in the Effective Date column.
- Changes if a branch location is new or changed are entered "Open" in the Data Action column and the date when the information becomes valid in the Effective Date column.
- Changes if a branch location is new or changed are entered "Add" in the Data Action column and the date when the information becomes valid in the Effective Date column.

**Submission Procedures:**

When you are finished, send a copy of this sheet to your FIDOOX contact. See the detailed instructions on the site for more information.

**To verify the FIDOOX requirements, you must use all FIDOOX Domestic Data Schedules for each branch with a Data Action of Change, Close, Delete, or Add.**

**FIDOOX reports may be submitted in a bichromatic or color format.**

**FIDOOX and Office Number:**

- **FIDOOX** is for reference only. Verification of these values is not required.
- **Office Number** is the unique identifier for each branch.

**Preparation:**

You may need to adjust your page setup in the FIDOOX editor. Use the landscape orientation for better readability.
<table>
<thead>
<tr>
<th>Name</th>
<th>Shares</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>James Mawn Group</td>
<td>9675</td>
<td>13.59%</td>
</tr>
<tr>
<td>Mawn Family Limited Partnership (General Partners: James J. Mawn, Jr. and Marilyn C. Mawn) (Woburn, MA)</td>
<td>3700</td>
<td>5.20%</td>
</tr>
<tr>
<td>Marital Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn) (Gloucester, MA)</td>
<td>3632</td>
<td>5.10%</td>
</tr>
<tr>
<td>Family Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn) (Gloucester, MA)</td>
<td>2110</td>
<td>2.96%</td>
</tr>
<tr>
<td>Northern Bank &amp; Trust Company, Trustee for James J. Mawn, IRA (Woburn, MA)</td>
<td>1586</td>
<td>2.23%</td>
</tr>
<tr>
<td>Rita M. Mawn (Gloucester, MA)</td>
<td>1662</td>
<td>2.33%</td>
</tr>
<tr>
<td>Mary Catherine Riley (Princeton, NJ)</td>
<td>1282</td>
<td>1.80%</td>
</tr>
<tr>
<td>Sheila E. Carpenter (Ann Arbor, MI)</td>
<td>1153</td>
<td>1.62%</td>
</tr>
<tr>
<td>Cede &amp; Co. FBO Joseph A. Riley, IRA (Princeton, NJ)</td>
<td>795</td>
<td>1.12%</td>
</tr>
<tr>
<td>Northern Bank &amp; Trust Company, Trustee for Sam A. Mawn-Mahlau, IRA (Winchester, MA)</td>
<td>762</td>
<td>1.07%</td>
</tr>
<tr>
<td>Alicia J. Mawn-Mahlau (Winchester, MA)</td>
<td>619</td>
<td>.87%</td>
</tr>
<tr>
<td>Northern Bank Trust &amp; Company, Trustee for M. Catherine Riley, IRA (Princeton, NJ)</td>
<td>250</td>
<td>.35%</td>
</tr>
<tr>
<td>Louise Mawn (Nashville, TN)</td>
<td>148</td>
<td>.21%</td>
</tr>
<tr>
<td>Mawn Family Children’s Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau) (Gloucester, MA)</td>
<td>40</td>
<td>.06%</td>
</tr>
<tr>
<td>Mary Negri and Dominic R. Negri (Woburn, MA)</td>
<td>50</td>
<td>.07%</td>
</tr>
<tr>
<td>Rita M. Barger (Mantius, NY)</td>
<td>30</td>
<td>.04%</td>
</tr>
<tr>
<td>Rita M. Mawn (surviving joint tenant) (Gloucester, MA)</td>
<td>20</td>
<td>.03%</td>
</tr>
</tbody>
</table>

1 Alicia J. Mawn-Mahlau also owns a beneficial interest in 49 shares (.07%) of the Mawn and Mawn, P.C. 401(k) Plan over which she has no power to vote.
2. **Dorothy Mawn Group**

<table>
<thead>
<tr>
<th>Shares</th>
<th>Percentage</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>9564</td>
<td>13.43%</td>
<td>Thomas M. Mawn, Jr., Trust Fund B (Trustees: Dorothy M. Mawn, Mary Elizabeth Mawn-Ferullo and Catherine A. Webb) (Woburn, MA)</td>
</tr>
<tr>
<td>6356</td>
<td>8.93%</td>
<td>Dorothy Mawn Grandchildren's Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn) (Woburn, MA)</td>
</tr>
<tr>
<td>3861</td>
<td>5.42%</td>
<td>Thomas and Dorothy Mawn Family Limited Partnership (General Partners: Russell A. Mawn and Mary Elizabeth Mawn-Ferullo) (Woburn, MA)</td>
</tr>
<tr>
<td>1218</td>
<td>1.71%</td>
<td>Mary Elizabeth Mawn-Ferullo (Woburn, MA)</td>
</tr>
<tr>
<td>149</td>
<td>.21%</td>
<td>Dorothy M. Mawn, IRA (Woburn, MA)</td>
</tr>
<tr>
<td>385</td>
<td>.54%</td>
<td>Dorothy Mawn Family Children's Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn) (Woburn, MA)</td>
</tr>
<tr>
<td>155</td>
<td>.22%</td>
<td>J. Lawrence Mawn (Medford, MA)</td>
</tr>
<tr>
<td>65</td>
<td>.09%</td>
<td>Russell A. Mawn (Washington, DC)</td>
</tr>
</tbody>
</table>

*Note: Mary Elizabeth Mawn-Ferullo has the power to vote the following in the capacities noted:*

<table>
<thead>
<tr>
<th>Shares</th>
<th>Percentage</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>551</td>
<td>.77%</td>
<td>Mawn and Mawn P.C. 401(k) Plan (Trustees: Mary Elizabeth Mawn-Ferullo and Barbara E. Mears) (Woburn, MA)</td>
</tr>
<tr>
<td>200</td>
<td>.28%</td>
<td>NJI Trust (Trustees: Mary Elizabeth Mawn-Ferullo and William Naughton) (Woburn, MA)</td>
</tr>
</tbody>
</table>

2 Mary Elizabeth Mawn-Ferullo also owns a beneficial interest in 452 shares (.63%) of the Mawn and Mawn P.C. 401(k) Plan over which she has no power to vote other than in her capacity as co-trustee with Barbara E. Mears.
3. **McCall Family (Woburn, MA)**
   
   **Country of Citizenship/Incorporation/Formation:** USA
   
   **Number and Percentage of Voting Securities:**

<table>
<thead>
<tr>
<th>Shares</th>
<th>Percentage</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>990 shares</td>
<td>1.39%</td>
<td>Hockenberry Joint Revocable Trust (Trustees: Catherine Hockenberry and Earle William Hockenberry, Jr.) (Great Falls, VA)</td>
</tr>
<tr>
<td>890 shares</td>
<td>1.25%</td>
<td>Edward McCall (Woburn, MA)</td>
</tr>
<tr>
<td>890 shares</td>
<td>1.25%</td>
<td>Maureen Austin (Ramona, CA)</td>
</tr>
<tr>
<td>790 shares</td>
<td>1.11%</td>
<td>Patricia McCall (Hampton Falls, NH)</td>
</tr>
<tr>
<td>690 shares</td>
<td>0.97%</td>
<td>Daniel McCall (Woburn, MA)</td>
</tr>
<tr>
<td>490 shares</td>
<td>0.69%</td>
<td>Judith Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>410 shares</td>
<td>0.58%</td>
<td>Daniel Leo McCall (Woburn, MA)</td>
</tr>
<tr>
<td>200 shares</td>
<td>0.28%</td>
<td>Edward H. McCall, Jr. (Carlisle, MA)</td>
</tr>
<tr>
<td>200 shares</td>
<td>0.28%</td>
<td>Marie S. McCall 1989 Revocable Trust (Trustees: Daniel McCall and Fred Kirk) (Woburn, MA)</td>
</tr>
<tr>
<td>107 shares</td>
<td>0.15%</td>
<td>Alisa Connelly (Methuen, MA)</td>
</tr>
<tr>
<td>100 shares</td>
<td>0.14%</td>
<td>Maureen Austin (Ramona, CA)</td>
</tr>
<tr>
<td>100 shares</td>
<td>0.14%</td>
<td>Adam R. Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>95 shares</td>
<td>0.13%</td>
<td>Andrea M. Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>29 shares</td>
<td>0.04%</td>
<td>Joseph Dean McCall (Woburn, MA)</td>
</tr>
<tr>
<td>29 shares</td>
<td>0.04%</td>
<td>Marilyn Marie McCall (Woburn, MA)</td>
</tr>
</tbody>
</table>
REPORT ITEM 3(2):  N/A
There are no additional securities holders, other than listed in Report Item 3(1), that owned or controlled five percent or more of any class of voting securities for Northern Bancorp, Inc. during fiscal year 2018.
REPORT ITEM 4:  INSIDERS (DIRECTORS, EXECUTIVE OFFICERS, AND PRINCIPAL SHAREHOLDERS >10%) – 12/31/18

Joseph Bellino (Director)
Bedford, MA
Principal Occupation
N/A

Title/Position with Holding Company
Director

Title/Position with Subsidiaries
Director    Northern Bank & Trust Company

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
.35%    Joseph M. Bellino

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Fortunato (Fred) Salvucci (Director)
Weston, MA
Principal Occupation
N/A

Title/Position with Holding Company
Director

Title/Position with Subsidiaries
Director    Northern Bank & Trust Company

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
.13%    Frederick Salvucci
Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
194 Mishawum Road Nominee Trust (50% - Cadaro Realty LLC)
Normac Warehouse LLC (1/3 - Cadaro Realty LLC)
Normac Warehouse II LLC (1/3 – Cadaro Realty LLC)
Cadaro Realty LLC
Fred Salvucci Corp.

John C. Martini (Director)
Woburn, MA

Principal Occupation
Insurance Agent

Title/Position with Holding Company
Director

Title/Position with Subsidiaries
Director Northern Bank & Trust Company

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
1.83% John C. Martini

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Mary Elizabeth Mawn-Ferullo (Director/Treasurer)
Woburn, MA

Principal Occupation
Attorney

Title/Position with Holding Company
Director, Treasurer, Principal Shareholder

Title/Position with Subsidiaries
Director Northern Bank & Trust Company
Director Northern Bank & Trust Charitable Foundation, Inc.
Title/Position with Other Businesses
Director, President,
Clerk, Treasurer Mawn and Mawn, P.C.
Trustee Mawn and Mawn P.C. 401(k) Plan, NJI Trust, C.L.M. Realty Trust, Thomas M. Mawn, Jr. Trust Fund B, Westford Center Trust, Franklin Street Nominee Trust, Roger M. Ormes Jr. Family Insurance Trust, Thomas M. Mawn Jr. 1989 Family Irrevocable Trust, Dorothy Mawn Grandchildren’s Trust, Dorothy Mawn Family Children’s Trust, Josephine Dalton Trust, Calvin J. Reid Family Trust, Jessica Toby Shoher Trust

General Partner/
Limited Partner Thomas and Dorothy Mawn Family Limited Partnership
Manager Haven Properties LLC
Director Burbeen Free Lecture Fund

Percentage of Voting Shares in Holding Company
*See Dorothy Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
Mawn and Mawn, P.C. 50%

James J. Mawn (Director, President, Chairman)
Concord, MA

Principal Occupation
President Northern Bank & Trust Company

Title/Position with Holding Company
President, Director, Chairman

Title/Position with Subsidiaries
Director, President, CEO Northern Bank & Trust Company
Director, Clerk N. B. & T. Securities Corp. II
Director, President N.B. & T. Securities Corp. IV
Manager NBTC Holdings, LLC, NBTC Sudbury, LLC, NBTC Great Road, LLC
Director, President Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses
Director Mawn and Mawn, P.C., PV Manager, Inc.
Trustee Franklin Street Nominee Trust, C.L.M. Realty Trust, James Mawn Children’s Trust, Mawn Family Children’s Trust, Marital Trust under the James J. Mawn Revocable Trust, Family Trust under the
James J. Mawn Revocable Trust, Old Road Nominee Trust, Mawn Family Mishawum Trust, Lincoln Road Trust, Mawn Franklin Trust, Westford Center Trust

Manager
Haven Properties LLC, Pheasant Hill LLC, Mishawum Properties, LLC, Normac Warehouse LLC, Normac Warehouse II LLC, Presidential Ventures, LLC, Air Force One, LLC, J JM Investments, LLC

Limited Partner/G
General Partner
Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company
*See James Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
Franklin Street Nominee Trust (35%)
Old Road Nominee Trust (50%)
Normac Warehouse LLC (1/3)
Normac Warehouse II LLC (1/3)
Lincoln Road Trust (50%)
PV Manager, Inc. (50%)
Air Force One, LLC (97.50%)
J JM Investments, LLC (100%)

Alicia J. Mawn-Mahlan (Director, Assistant Secretary)
Winchester, MA

Principal Occupation
None

Title/Position with Holding Company
Director, Assistant Secretary

Title/Position with Subsidiaries
Director, Assistant Secretary Northern Bank & Trust Company
Director, Assistant Clerk N. B. & T. Securities Corp. II
Director Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses
Director Mawn and Mawn, P.C.
Trustee Mawn Family Children’s Trust, Marital Trust under the James J. Mawn Revocable Trust, Family Trust under the James J. Mawn Revocable Trust

Limited Partner Mawn Family Limited Partnership
Percentage of Voting Shares in Holding Company
*See James Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Donald P. Queenin (Assistant Treasurer)
Woburn, MA

Principal Occupation
Executive Vice President  Northern Bank & Trust Company

Title/Position with Holding Company
Assistant Treasurer

Title/Position with Subsidiaries
Director, Executive Vice President  Northern Bank & Trust Company
Director, Treasurer  N.B. & T. Securities Corp. II
Director, Clerk  N.B. & T. Securities Corp. IV
Manager  NBTC Holdings, LLC, NBTC Sudbury LLC, NBTC Great Road, LLC
Director, Clerk  Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses
Chairman  Woburn Redevelopment Authority
Director  Massachusetts Independent Bankers (president), Woburn Public Library Foundation, Inc.
          Woburn Community Educational Foundation, Inc. (treasurer)
Officer  Woburn Development Corp. (president)
Chairman  ICBA Large Bank Council

Percentage of Voting Shares in Holding Company
.01%  Donald P. Queenin

Percentage of Voting Shares in Subsidiaries
N/A
Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A
Mary Catherine Riley (Secretary)
Princeton, NJ

Principal Occupation
None

Title/Position with Holding Company
Secretary

Title/Position with Subsidiaries
Director, Secretary Northern Bank & Trust Company

Title/Position with Other Businesses
Director Mawn and Mawn, P.C.
Trustee Mawn Family Children’s Trust, Marital Trust under the James J. Mawn Revocable Trust, Family Trust under the James J. Mawn Revocable Trust
Limited Partner Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company
*See James Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Francis Kenney (Chief Financial Officer)
Peabody, MA

Principal Occupation
Executive Vice President/Treasurer/CFO Northern Bank & Trust Company

Title/Position with Holding Company
Chief Financial Officer

Title/Position with Subsidiaries
Executive Vice President/Treasurer/CFO Northern Bank & Trust Company
President N.B. & T. Securities Corp. II
Treasurer N.B. & T. Securities Corp. IV, Northern 1031 Exchange LLC
Manager NBTC Realty, LLC, NBTC Holdings, LLC, NBTC Sudbury LLC, NBTC Great Road, LLC
Treasurer Northern Bank & Trust Charitable Foundation, Inc.
Trustee 289 Great Road Realty Trust
Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
N/A

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

James Mawn Group (Principal Shareholder)
Woburn, MA

Principal Occupation
N/A

Title/Position with Holding Company
Principal Shareholder

Title/Position with Subsidiaries
N/A

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company

13.59% Mawn Family Limited Partnership (General Partners: James J. Mawn, Jr. and Marilyn C. Mawn)
5.20% Marital Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn)
5.10% James J. Mawn Jr.
2.96% Family Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn)
2.23% Northern Bank & Trust Company, Trustee for James J. Mawn, IRA
2.33% Rita M. Mawn
1.80% Mary Catherine Riley
1.62% Sheila E. Carpenter
1.12% Cede & Co. FBO Joseph A. Riley, IRA
1.07% Northern Bank & Trust Company, Trustee for Sam A. Mawn-Mahlau, IRA

1 Alicia J. Mawn-Mahlau also owns a .07% beneficial interest in the Mawn and Mawn P.C. 401(k) Plan over which she has no power to vote.
.87%  Alicia J. Mawn-Mahlau
.35%  Northern Bank Trust & Company, Trustee for M. Catherine Riley,
.21%  Louise Mawn
.06%  Mawn Family Children’s Trust (Trustees: James J. Mawn, Marilyn
Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau)
.07%  Mary Negri and Dominic R. Negri
.04%  Rita M. Barger
.03%  Rita M. Mawn

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Dorothy Mawn Group (Principal Shareholder)
Woburn, MA

Principal Occupation
N/A

Title/Position with Holding Company
Principal Shareholder

Title/Position with Subsidiaries
N/A

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company

13.43%  Thomas M. Mawn, Jr., Trust Fund B (Trustees: Dorothy M.
Mawn, Mary Elizabeth Mawn-Ferullo and Catherine A. Webb)
8.93%  Dorothy Mawn Grandchildren’s Trust (Trustees: Mary Elizabeth
Mawn-Ferullo and Russell A. Mawn)
5.42%  Thomas and Dorothy Mawn Family Limited Partnership (General
Partners: Russell A. Mawn and Mary Elizabeth Mawn-Ferullo)
1.71%  Mary Elizabeth Mawn-Ferullo
.21%  Dorothy M. Mawn
.54%  Dorothy Mawn Family Children’s Trust (Trustees: Mary Elizabeth
Mawn-Ferullo and Russell A. Mawn)
.22%  J. Lawrence Mawn
.09%  Russell A. Mawn

2 Mary Elizabeth Mawn also owns a .63% beneficial interest in Mawn and Mawn P.C. 401(k) Plan over
which she has no additional power to vote, other than in her capacity as co-trustee with Barbara E. Mearls.
Note: Mary Elizabeth Mawn-Ferullo has the power to vote the following in the capacities noted:

- .77% Mawn and Mawn P.C. 401(k) Plan (Trustees: Mary Elizabeth Mawn-Ferullo and Barbara E. Mearls)
- .28% NJI Trust (Trustees: Mary Elizabeth Mawn-Ferullo and William Naughton)

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Dorothy M. Mawn (Principal Shareholder)
Woburn, MA

Principal Occupation
N/A

Title/Position with Holding Company
Principal Shareholder

Title/Position with Subsidiaries
N/A

Title/Position with Other Businesses
Trustee Thomas M. Mawn, Jr., Trust Fund B

Percentage of Voting Shares in Holding Company
*See Dorothy Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
Thomas M. Mawn, Jr., Trust Fund B (100%) Westford Center Trust (33 1/3%)
Franklin Street Nominee Trust (30%)
Wingaersheek Investment Trust (33 1/3%)
Thomas M. Mawn 1989 Family Irrevocable Trust (100%)