Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 5361, 5365, 5412, 1860a(c)(1), and 5466(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

Name of the Holding Company [Director and Official]

James J. Maw

Title of the Holding Company [Director and Official]

Director and President

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board’s “Rules Regarding Availability of Information,” 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official

Date of Signature

March 19, 2020

For holding companies not registered with the SEC—
Indicate status of Annual Report to Shareholders:

☐ Is included with the FR Y-6 report
☐ Will be sent under separate cover
☐ Is not prepared

For Federal Reserve Bank Use Only

RSSD ID

C.I.

Date of Report (top-tier holding company's fiscal year-end):

12/31/19

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20 Character LEI Code)

Northern Bancorp, Inc.

Legal Title of Holding Company

275 Mishawum Road

Mailing Address of the Holding Company Street / P.O. Box

Woburn MA 01801

City State Zip Code

SAME

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Francis Kenney CFO

Name Title

(781) 937-5400

Area Code / Phone Number / Extension

(781) 937-5416

Area Code / Fax Number

fkenney@nbtc.com

E-mail Address

None (subsidiary bank) www.nbtc.com

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission?

☐ Yes ☐ No

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report

☐ Yes ☐ No

2. a letter justifying this request has been provided separately

☐ Yes ☐ No

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential.”

Public reporting burden for this information collection is estimated to take 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0287), Washington, DC 20503.
NORTHERN BANCORP, INC.
ANNUAL REPORT OF BANK HOLDING COMPANIES – FR Y-6
FISCAL YEAR END DECEMBER 31, 2019

REPORT ITEM 1: Enclosed is a copy of the Audited Consolidated Financial Statements for Northern Bancorp, Inc. and Subsidiary Years Ended December 31, 2019 and December 31, 2018

REPORT ITEM 2A: Organizational Chart (business address for all entities is 275 Mishawum Road, Woburn, MA 01801; all entities are incorporated in Massachusetts; LEI is not applicable unless otherwise noted)

NORTHERN BANCORP, INC.
| (100%) |

Northern Bank & Trust Company
(LEI #: 549300EE39SNC5DXTC46)

<table>
<thead>
<tr>
<th>(100%)</th>
<th>(100%)</th>
<th>(100%)</th>
<th>(100%)</th>
<th>(100%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>N.B. &amp; T. Securities Corp. II</td>
<td>N.B. &amp; T. Securities Corp. IV</td>
<td>NBTC Realty LLC*</td>
<td>289 Great Road Realty Trust</td>
<td>Northern 1031 Exchange LLC**</td>
</tr>
</tbody>
</table>

*Northern Bank & Trust Company is sole member and appoints individual bank officers as managers.

**Northern Bank & Trust Company is sole member and managing member.
REPORT ITEM 2B (DOMESTIC BRANCH LISTING): Attached is a copy of the domestic branch listing for Northern Bancorp, Inc. (#1246935). There are no changes to the listing as of fiscal year end 2019.
<table>
<thead>
<tr>
<th>Branch Service</th>
<th>Branch ID</th>
<th>Models Used</th>
<th>Street Address</th>
<th>City</th>
<th>State</th>
<th>RiD</th>
<th>Oid</th>
<th>County</th>
<th>Country</th>
<th>Zip Code</th>
<th>Office Number</th>
<th>Head Office</th>
<th>Head Office ID</th>
<th>County ID</th>
<th>Comments</th>
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<tbody>
<tr>
<td>Full Service</td>
<td>1555054</td>
<td>5, 6, 7</td>
<td>1770 NEWHART ROAD</td>
<td>WOBURN</td>
<td>MA</td>
<td>01801</td>
<td>5055</td>
<td>MIDDLESEX</td>
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<td>01801</td>
<td>00000</td>
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<tr>
<td>Full Service</td>
<td>1555057</td>
<td>5, 6, 7</td>
<td>644 MASHENKILL AVENUE</td>
<td>ACTON</td>
<td>MA</td>
<td>01720</td>
<td>5055</td>
<td>MIDDLESEX</td>
<td>UNITED STATES</td>
<td>01720</td>
<td>00000</td>
<td>00000</td>
<td>00000</td>
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<td>00000</td>
</tr>
<tr>
<td>Full Service</td>
<td>1555055</td>
<td>5, 6, 7</td>
<td>460 BOSTON RD</td>
<td>BILLERICA</td>
<td>MA</td>
<td>01821</td>
<td>5055</td>
<td>MIDDLESEX</td>
<td>UNITED STATES</td>
<td>01821</td>
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<td>00000</td>
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<tr>
<td>Full Service</td>
<td>1555056</td>
<td>5, 6, 7</td>
<td>12 CENTER STREET</td>
<td>WOBURN</td>
<td>MA</td>
<td>01801</td>
<td>5055</td>
<td>MIDDLESEX</td>
<td>UNITED STATES</td>
<td>01801</td>
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<td>00000</td>
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<tr>
<td>Full Service</td>
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<td>5, 6, 7, 8</td>
<td>445 WINTHROP AVENUE</td>
<td>LEXINGTON</td>
<td>MA</td>
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<td>5055</td>
<td>MIDDLESEX</td>
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<tr>
<td>Full Service</td>
<td>1555058</td>
<td>5, 6, 7</td>
<td>432 FRANKLIN ST</td>
<td>WESTON</td>
<td>MA</td>
<td>02090</td>
<td>5055</td>
<td>MIDDLESEX</td>
<td>UNITED STATES</td>
<td>02090</td>
<td>00000</td>
<td>00000</td>
<td>00000</td>
<td>00000</td>
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</tr>
<tr>
<td>Full Service</td>
<td>1555059</td>
<td>5, 6, 7</td>
<td>460 MAIN STREET</td>
<td>udson</td>
<td>MA</td>
<td>01921</td>
<td>5055</td>
<td>MIDDLESEX</td>
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<td>00000</td>
<td>00000</td>
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<td>Full Service</td>
<td>1555060</td>
<td>5, 6, 7</td>
<td>400 WINTHROP ROAD</td>
<td>MILFORD</td>
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<td>01757</td>
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<td>MIDDLESEX</td>
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<td>MIDDLESEX</td>
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<tr>
<td>Full Service</td>
<td>1555062</td>
<td>5, 6, 7</td>
<td>432 FRANKLIN ST</td>
<td>WESTON</td>
<td>MA</td>
<td>02090</td>
<td>5055</td>
<td>MIDDLESEX</td>
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</tr>
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<td>Full Service</td>
<td>1555063</td>
<td>5, 6, 7</td>
<td>460 MAIN STREET</td>
<td>udson</td>
<td>MA</td>
<td>01921</td>
<td>5055</td>
<td>MIDDLESEX</td>
<td>UNITED STATES</td>
<td>01921</td>
<td>00000</td>
<td>00000</td>
<td>00000</td>
<td>00000</td>
<td>00000</td>
</tr>
</tbody>
</table>
REPORT ITEM 3(1):  SECURITIES HOLDERS > 5% AS OF 12/31/19

1. **James Mawn Group**

   **Country of Citizenship/Incorporation/Formation:** USA  
   **Number and Percentage of Voting Securities:**

<table>
<thead>
<tr>
<th>Shares</th>
<th>Percentage</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>8190</td>
<td>11.54%</td>
<td>Mawn Family Limited Partnership (General Partners: James J. Mawn, Jr. and Marilyn C. Mawn) (Woburn, MA)</td>
</tr>
<tr>
<td>3700</td>
<td>5.21%</td>
<td>Marital Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn) (Gloucester, MA)</td>
</tr>
<tr>
<td>3632</td>
<td>5.12%</td>
<td>James J. Mawn (Jr.) (Concord, MA)</td>
</tr>
<tr>
<td>2110</td>
<td>2.97%</td>
<td>Family Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn) (Gloucester, MA)</td>
</tr>
<tr>
<td>1662</td>
<td>2.34%</td>
<td>Rita M. Mawn (Gloucester, MA)</td>
</tr>
<tr>
<td>1550</td>
<td>2.18%</td>
<td>Northern Bank &amp; Trust Company, Trustee for James J. Mawn, IRA (Woburn, MA)</td>
</tr>
<tr>
<td>1520</td>
<td>2.14%</td>
<td>Mawn Family Children’s Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau) (Gloucester, MA)</td>
</tr>
<tr>
<td>1282</td>
<td>1.81%</td>
<td>Mary Catherine Riley (Princeton, NJ)</td>
</tr>
<tr>
<td>1153</td>
<td>1.62%</td>
<td>Sheila E. Carpenter (Ann Arbor, MI)</td>
</tr>
<tr>
<td>795</td>
<td>1.12%</td>
<td>Cedel &amp; Co. FBO Joseph A. Riley, IRA (Princeton, NJ)</td>
</tr>
<tr>
<td>762</td>
<td>1.07%</td>
<td>Northern Bank &amp; Trust Company, Trustee for Sam A. Mawn-Mahlau, IRA (Winchester, MA)</td>
</tr>
<tr>
<td>619</td>
<td>0.87%</td>
<td>Alicia J. Mawn-Mahlau (Winchester, MA)</td>
</tr>
<tr>
<td>250</td>
<td>0.35%</td>
<td>Northern Bank Trust &amp; Company, Trustee for M. Catherine Riley, IRA (Princeton, NJ)</td>
</tr>
<tr>
<td>148</td>
<td>0.21%</td>
<td>Louise Mawn (Nashville, TN)</td>
</tr>
<tr>
<td>50</td>
<td>0.07%</td>
<td>Mary Negri and Dominic R. Negri (Woburn, MA)</td>
</tr>
<tr>
<td>36</td>
<td>0.05%</td>
<td>Marilyn Mawn (New York, NY)</td>
</tr>
<tr>
<td>30</td>
<td>0.04%</td>
<td>Rita M. Barger (Manlius, NY)</td>
</tr>
<tr>
<td>20</td>
<td>0.03%</td>
<td>Rita M. Mawn (surviving joint tenant) (Gloucester, MA)</td>
</tr>
<tr>
<td>5</td>
<td>0.01%</td>
<td>James J. Mawn, Jr. (Concord, MA)</td>
</tr>
</tbody>
</table>

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1 Alicia J. Mawn-Mahlau also owns a beneficial interest in 49 shares (.07%) of the Mawn and Mawn, P.C. 401(k) Plan over which she has no power to vote.
Northern Bancorp, Inc.
Report Item 3(1) for Fiscal Year Ending 12/31/19 (Continued)

2. **Dorothy Mawn Group**
   
   **Country of Citizenship/Incorporation/Formation:** USA
   
   **Number and Percentage of Voting Securities:**
   
   - 9564 shares - 13.47% Thomas M. Mawn, Jr., Trust Fund B (Trustees: Dorothy M. Mawn, Mary Elizabeth Mawn-Ferullo and Catherine A. Webb) (Woburn, MA)
   
   - 6356 shares - 8.96% Dorothy Mawn Grandchildren's Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn) (Woburn, MA)
   
   - 3861 shares - 5.44% Thomas and Dorothy Mawn Family Limited Partnership (General Partners: Russell A. Mawn and Mary Elizabeth Mawn-Ferullo) (Woburn, MA)
   
   - 1218 shares - 1.72% Mary Elizabeth Mawn-Ferullo (Woburn, MA)
   
   - 385 shares - .54% Dorothy Mawn Family Children's Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn) (Woburn, MA)
   
   - 155 shares - .22% J. Lawrence Mawn (Medford, MA)
   
   - 149 shares - .21% Dorothy M. Mawn, IRA (Woburn, MA)
   
   - 65 shares - .09% Russell A. Mawn (Washington, DC)

   **Note:** Mary Elizabeth Mawn-Ferullo has the power to vote the following in the capacity noted:

   - 551 shares - .78% Mawn and Mawn P.C. 401(k) Plan (Trustees: Mary Elizabeth Mawn-Ferullo and Barbara E. Mearls) (Woburn, MA)

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2 Mary Elizabeth Mawn-Ferullo also owns a beneficial interest in 452 shares (.64%) of the Mawn and Mawn P.C. 401(k) Plan over which she has no power to vote other than in her capacity as co-trustee with Barbara E. Mearls.
3. **McCall Family (Woburn, MA)**  
**Country of Citizenship/Incorporation/Formation:** USA  
**Number and Percentage of Voting Securities:**  
<table>
<thead>
<tr>
<th>Shares</th>
<th>Percentage</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>890</td>
<td>1.25%</td>
<td>Hockenberry Joint Revocable Trust (Trustees: Catherine Hockenberry and Earle William Hockenberry, Jr.) (Great Falls, VA)</td>
</tr>
<tr>
<td>890</td>
<td>1.25%</td>
<td>Edward McCall (Woburn, MA)</td>
</tr>
<tr>
<td>890</td>
<td>1.25%</td>
<td>Maureen Austin (Ramona, CA)</td>
</tr>
<tr>
<td>790</td>
<td>1.11%</td>
<td>Campbell/McCall Family Trust UA 1/4/2017 (Trustees: Paul E. Campbell and Patricia McCall) (Hernando, FL)</td>
</tr>
<tr>
<td>690</td>
<td>0.97%</td>
<td>Daniel McCall (Woburn, MA)</td>
</tr>
<tr>
<td>490</td>
<td>0.69%</td>
<td>Judith Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>410</td>
<td>0.58%</td>
<td>Daniel Leo McCall (Woburn, MA)</td>
</tr>
<tr>
<td>200</td>
<td>0.28%</td>
<td>Edward H. McCall, Jr. (Carlisle, MA)</td>
</tr>
<tr>
<td>200</td>
<td>0.28%</td>
<td>Marie S. McCall 1989 Revocable Trust (Trustees: Daniel McCall and Fred Kirk) (Woburn, MA)</td>
</tr>
<tr>
<td>100</td>
<td>0.14%</td>
<td>Maureen Austin (Ramona, CA)</td>
</tr>
<tr>
<td>100</td>
<td>0.14%</td>
<td>Adam R. Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>95</td>
<td>0.13%</td>
<td>Andrea M. Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>95</td>
<td>0.13%</td>
<td>Alisa Conneilly (Methuen, MA)</td>
</tr>
<tr>
<td>29</td>
<td>0.04%</td>
<td>Joseph Dean McCall (Woburn, MA)</td>
</tr>
<tr>
<td>29</td>
<td>0.04%</td>
<td>Marilyn Marie McCall (Woburn, MA)</td>
</tr>
</tbody>
</table>
REPORT ITEM 3(2): N/A

There are no additional securities holders, other than listed in Report Item 3(1), that owned or controlled five percent or more of any class of voting securities for Northern Bancorp, Inc. during fiscal year 2019.
NORTHERN BANCORP, INC.  
WOBURN, MASSACHUSETTS  
FISCAL YEAR ENDING DECEMBER 31, 2019

REPORT ITEM 4:  INSIDERS (DIRECTORS, EXECUTIVE OFFICERS, AND PRINCIPAL SHAREHOLDERS >10%) – 12/31/19

Fortunato (Fred) Salvucci (Director)  
Weston, MA
Principal Occupation  
N/A

Title/Position with Holding Company  
Director

Title/Position with Subsidiaries  
Director  Northern Bank & Trust Company

Title/Position with Other Businesses  
N/A

Percentage of Voting Shares in Holding Company  
.13%  Frederick Salvucci

Percentage of Voting Shares in Subsidiaries  
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held  
Fred Salvucci Corp.

John C. Martini (Director)  
Woburn, MA
Principal Occupation  
Insurance Agent

Title/Position with Holding Company  
Director

Title/Position with Subsidiaries  
Director  Northern Bank & Trust Company

Title/Position with Other Businesses  
N/A
Percentage of Voting Shares in Holding Company
1.83%  John C. Martini

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

John W. Sullivan (Director)
Boston, MA
Principal Occupation
N/A

Title/Position with Holding Company
Director

Title/Position with Subsidiaries
Director  Northern Bank & Trust Company

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
.01%  John W. Sullivan

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
JWS Properties LLC (100%)
Javelin Securities LLC (50%)

Mary Elizabeth Mawn-Ferullo (Director/Treasurer)
Woburn, MA
Principal Occupation
Attorney

Title/Position with Holding Company
Director, Treasurer, Principal Shareholder

Title/Position with Subsidiaries
Director  Northern Bank & Trust Company
Director  Northern Bank & Trust Charitable Foundation, Inc.
Title/Position with Other Businesses
Director, President, Clerk, Treasurer
Mawn and Mawn, P.C.
Trustee
Mawn and Mawn P.C. 401(k) Plan, C.L.M. Realty Trust, Thomas M. Mawn, Jr. Trust Fund B, Westford Center Trust, Franklin Street Nominee Trust, Roger M. Ormes Jr. Family Insurance Trust, Thomas M. Mawn Jr. 1989 Family Irrevocable Trust, Dorothy Mawn Grandchildren’s Trust, Dorothy Mawn Family Children’s Trust, Josephine Dalton Trust, Calvin J. Reid Family Trust, Jessica Toby Shoher Trust

General Partner/
Limited Partner
Thomas and Dorothy Mawn Family Limited Partnership
Manager
Haven Properties LLC
Director
Burbeen Free Lecture Fund

Percentage of Voting Shares in Holding Company
*See Dorothy Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
Mawn and Mawn, P.C. 50%

James J. Mawn (Director, President, Chairman)
Concord, MA

Principal Occupation
President
Northern Bank & Trust Company

Title/Position with Holding Company
President, Director, Chairman

Title/Position with Subsidiaries
Director, President, CEO
Northern Bank & Trust Company
Director, Clerk
N. B. & T. Securities Corp. II
Director, President
N.B. & T. Securities Corp. IV
Manager
NBTC Holdings, LLC, NBTC Sudbury, LLC, NBTC Great Road, LLC
Director, President
Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses
Director
Mawn and Mawn, P.C., PV Manager, Inc.
Trustee
Franklin Street Nominee Trust, C.L.M. Realty Trust, James Mawn Children’s Trust, Mawn Family Children’s Trust, Marital Trust under the James J. Mawn Revocable Trust, Family Trust under the
James J. Mawn Revocable Trust, Old Road Nominee Trust, Mawn Family Mishawum Trust, Lincoln Road Trust, Mawn Franklin Trust, Westford Center Trust, Roger M. Ornes Jr. Family Insurance Trust, Mawn Residence Remainder Trust, 27 CML Nominee Trust

Manager: Haven Properties LLC, Mishawum Properties, LLC, Normac Warehouse LLC, Normac Warehouse II LLC, Presidential Ventures, LLC, Air Force One, LLC, JJM Investments, LLC

Limited Partner/
General Partner: Mawn Family Limited Partnership

**Percentage of Voting Shares in Holding Company**
*See James Mawn Group*

**Percentage of Voting Shares in Subsidiaries**
N/A

**Percentage of Voting Shares in Other Companies of 25% or More is Held**
194 Mishawum Road Nominee Trust
Franklin Street Nominee Trust (35%)
Old Road Nominee Trust (50%)
Normac Warehouse LLC (1/3)
Normac Warehouse II LLC (1/3)
Lincoln Road Trust (50%)
PV Manager, Inc. (50%)
Air Force One, LLC (97.50%)
JJM Investments, LLC (100%)

**Alicia J. Mawn-Mahlau (Director, Assistant Secretary)**
**Winchester, MA**

**Principal Occupation**
None

**Title/Position with Holding Company**
Director, Assistant Secretary

**Title/Position with Subsidiaries**
Director, Assistant Secretary Northern Bank & Trust Company
Director, Assistant Clerk N. B. & T. Securities Corp. II
Director Northern Bank & Trust Charitable Foundation, Inc.

**Title/Position with Other Businesses**
Director Mawn and Mawn, P.C.
Trustee Mawn Family Children’s Trust, Marital Trust under the James J. Mawn Revocable Trust, Family Trust under the James J. Mawn Revocable Trust
Limited Partner  Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company
*See James Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Donald P. Queenin (Assistant Treasurer)
Woburn, MA

Principal Occupation
Executive Vice President  Northern Bank & Trust Company

Title/Position with Holding Company
Assistant Treasurer

Title/Position with Subsidiaries
Director, Executive Vice President  Northern Bank & Trust Company
Director, Treasurer  N.B. & T. Securities Corp. II
Director, Clerk  N.B. & T. Securities Corp. IV
Manager  NBTC Holdings, LLC, NBTC Sudbury LLC, NBTC Great Road, LLC
Director, Clerk  Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses
Chairman  Woburn Redevelopment Authority
Director  Massachusetts Independent Bankers (President), Woburn Public Library Foundation, Inc., Woburn Community Educational Foundation, Inc. (Treasurer)
Officer  Woburn Development Corp. (President)
Member  ICBA Large Bank Council

Percentage of Voting Shares in Holding Company
.01%  Donald P. Queenin

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A
Mary Catherine Riley (Secretary)

Princeton, NJ

Principal Occupation
None

Title/Position with Holding Company
Secretary

Title/Position with Subsidiaries
Director, Secretary Northern Bank & Trust Company

Title/Position with Other Businesses
Director Mawn and Mawn, P.C.
Trustee Mawn Family Children's Trust, Marital Trust under the James J. Mawn Revocable Trust, Family Trust under the James J. Mawn Revocable Trust
Limited Partner Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company
*See James Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Francis Kenney (Chief Financial Officer)

Peabody, MA

Principal Occupation
Executive Vice President/Treasurer/CFO Northern Bank & Trust Company

Title/Position with Holding Company
Chief Financial Officer

Title/Position with Subsidiaries
Executive Vice President/Treasurer/CFO Northern Bank & Trust Company
President N.B. & T. Securities Corp. II
Treasurer N.B. & T. Securities Corp. IV, Northern 1031 Exchange LLC
Manager NBTC Realty, LLC, NBTC Holdings, LLC, NBTC Sudbury LLC, NBTC Great Road, LLC
Treasurer Northern Bank & Trust Charitable Foundation, Inc.
Trustee 289 Great Road Realty Trust
Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
N/A

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

James Mawn Group (Principal Shareholder)
Woburn, MA

Principal Occupation
N/A

Title/Position with Holding Company
Principal Shareholder

Title/Position with Subsidiaries
N/A

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company

11.54% Mawn Family Limited Partnership (General Partners: James J. Mawn, Jr. and Marilyn C. Mawn)

5.21% Marital Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn)

5.12% James J. Mawn (Jr.)

2.97% Family Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn)

2.34% Rita M. Mawn

2.18% Northern Bank & Trust Company, Trustee for James J. Mawn, IRA

2.14% Mawn Family Children’s Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau)

1.81% Mary Catherine Riley

1.62% Sheila B. Carpenter

1.12% Cede & Co. FBO Joseph A. Riley, IRA

1 Alicia J. Mawn-Mahlau also owns a .07% beneficial interest in the Mawn and Mawn P.C. 401(k) Plan over which she has no power to vote.
1.07% Northern Bank & Trust Company, Trustee for Sam A. Mawn-Mahlau, IRA
.87% Alicia J. Mawn-Mahlau
.35% Northern Bank Trust & Company, Trustee for M. Catherine Riley,
.21% Louise Mawn
.07% Mary Negri and Dominic R. Negri
.05% Marilyn Mawn
.04% Rita M. Barger
.03% Rita M. Mawn
.01% James J. Mawn, Jr.

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Dorothy Mawn Group (Principal Shareholder)
Woburn, MA

Principal Occupation
N/A

Title/Position with Holding Company
Principal Shareholder

Title/Position with Subsidiaries
N/A

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
2
13.47% Thomas M. Mawn, Jr., Trust Fund B (Trustees: Dorothy M.
Mawn, Mary Elizabeth Mawn-Ferullo and Catherine A. Webb)
8.96% Dorothy Mawn Grandchildren's Trust (Trustees: Mary Elizabeth
Mawn-Ferullo and Russell A. Mawn)
5.44% Thomas and Dorothy Mawn Family Limited Partnership (General
Partners: Russell A. Mawn and Mary Elizabeth Mawn-Ferullo)
1.72% Mary Elizabeth Mawn-Ferullo
.54% Dorothy Mawn Family Children's Trust (Trustees: Mary Elizabeth
Mawn-Ferullo and Russell A. Mawn)
.22% J. Lawrence Mawn
.21% Dorothy M. Mawn

2 Mary Elizabeth Mawn also owns a .64% beneficial interest in Mawn and Mawn P.C. 401(k) Plan over
which she has no additional power to vote, other than in her capacity as co-trustee with Barbara E. Mearls.
.09% Russell A. Mawn

Note: Mary Elizabeth Mawn-Ferullo has the power to vote the following in the capacity noted:

.78% Mawn and Mawn P.C. 401(k) Plan (Trustees: Mary Elizabeth Mawn-Ferullo and Barbara E. Mearls)

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A