

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5381, 5385, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

Date of Report (top-tier holding company's fiscal year-end):

12/31/19

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Northern Bancorp, Inc.

Legal Title of Holding Company

275 Mishawum Road

(Mailing Address of the Holding Company) Street / P.O. Box

Woburn

MA

01801

City

State

Zip Code

same

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Francis Kenney

CFO

Name

Title

(781) 937-5400

Area Code / Phone Number / Extension

(781) 937-5416

Area Code / FAX Number

fkenney@nbtc.com

E-mail Address

None (subsidiary bank + www.nbtc.com)

Address (URL) for the Holding Company's web page

Name of the Holding Company Director and Official

Director and President

Title of the Holding Company Director and Official

I, James J. Mawn, attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official

March 19, 2020

Date of Signature

For holding companies not registered with the SEC—Indicate status of Annual Report to Shareholders:

- Is included with the FR Y-6 report
- Will be sent under separate cover
- Is not prepared

For Federal Reserve Bank Use Only

RSSD ID _____

C.I. _____

Is confidential treatment requested for any portion of this report submission? No Yes

In accordance with the General Instructions for this report (check only one),

- 1. a letter justifying this request is being provided along with the report
- 2. a letter justifying this request has been provided separately

NOTE: information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

**NORTHERN BANCORP, INC.
ANNUAL REPORT OF BANK HOLDING COMPANIES – FR Y-6
FISCAL YEAR END DECEMBER 31, 2019**

REPORT ITEM 1: Enclosed is a copy of the Audited Consolidated Financial Statements for Northern Bancorp, Inc. and Subsidiary Years Ended December 31, 2019 and December 31, 2018

REPORT ITEM 2A: Organizational Chart (business address for all entities is 275 Mishawum Road, Woburn, MA 01801; all entities are incorporated in Massachusetts; LEI is not applicable unless otherwise noted)

NORTHERN BANCORP, INC.

| (100%)

|

Northern Bank & Trust Company
(LEI #: 549300EE39SNC5DXTC46)

|

(100%)	(100%)	(100%)	(100%)	(100%)	(100%)
N.B. & T. Securities Corp. II	N.B. & T. Securities Corp. IV	NBTC Realty LLC*	289 Great Road Realty Trust	Northern 1031 Exchange LLC**	NBTC Great Road, LLC*

* Northern Bank & Trust Company is sole member and appoints individual bank officers as managers.

** Northern Bank & Trust Company is sole member and managing member.

REPORT ITEM 2B (DOMESTIC BRANCH LISTING): Attached is a copy of the domestic branch listing for Northern Bancorp, Inc. (#1246935). There are no changes to the listing as of fiscal year end 2019.

Results A list of branches for your depository institution: NORTHERN BANK & TRUST COMPANY (ID_RSSD: 900906).
 This depository institution is held by NORTHERN BANCORP, INC. (244933) of WOBURN, MA.
 The data are as of 12/31/2019. Data reflects information that was received and processed through 05/05/2020.

Reconciliation and Verification Steps

1. In the Data Action column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the Effective Date column

Actions

OK: If the branch information is correct, enter 'OK' in the Data Action column.
 Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.
 Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.
 Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.
 Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Notes

To satisfy the FR Y-30 reporting requirements, you must also submit FR Y-30 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.
 The FR Y-30 report may be submitted in a hardcopy format or via the FR Y-30 Online application - <https://y10online.federalreserve.gov>.

* FDIC UNIFORM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

Branch Action*	Effective Date*	Branch Service Type	Branch ID_RSSD*	Depositor Name	Street Address	City	State	Zip Code	County	Country	FDIC UNIFORM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
		Full Service (Head Office)	900906	NORTHERN BANK & TRUST COMPANY	275 MERRIMACK ROAD	WOBURN	MA	02801	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	1891634	ACTON BRANCH	434 MASSACHUSETTS AVENUE	ACTON	MA	01720	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	6346501	BILERICA BRANCH	482 BOSTON RD	BILERICA	MA	01821	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	1891607	BURLINGTON BRANCH	33 CENTER STREET	BURLINGTON	MA	01803	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	1891648	CHELMSFORD BRANCH	34 LITTLETON ROAD	CHELMSFORD	MA	01824	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	1891676	LITTLETON BRANCH	265 GREAT ROAD	LITTLETON	MA	01460	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	1388377	MELROSE BRANCH	514 FRANKLIN ST	MELROSE	MA	02178	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	4661443	READING BRANCH	600 MAIN STREET	READING	MA	01867	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	4963806	SUDBURY BRANCH	430 BOSTON POST ROAD	SUDBURY	MA	01778	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	1178667	WESTFORD BRANCH	45 NARIST	WESTFORD	MA	02886	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	3940758	LEXINGTON STREET BRANCH	215 LEXINGTON STREET	WOBURN	MA	02801	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	
		Full Service	1994080	WOBURN BRANCH	308 MAIN STR	WOBURN	MA	02801	MIDDLESEX	UNITED STATES	Not Required	Not Required	NORTHERN BANK & TRUST COMPANY	900906	

**NORTHERN BANCORP, INC.
WOBURN, MASSACHUSETTS
FISCAL YEAR ENDING DECEMBER 31, 2019**

REPORT ITEM 3(1): SECURITIES HOLDERS > 5% AS OF 12/31/19

1. James Mawn Group¹

Country of Citizenship/Incorporation/Formation: USA

Number and Percentage of Voting Securities:

8190 shares	-	11.54%	Mawn Family Limited Partnership (General Partners: James J. Mawn, Jr. and Marilyn C. Mawn) (Woburn, MA)
3700 shares	-	5.21%	Marital Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn) (Gloucester, MA)
3632 shares	-	5.12%	James J. Mawn (Jr.) (Concord, MA)
2110 shares	-	2.97%	Family Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn) (Gloucester, MA)
1662 shares	-	2.34%	Rita M. Mawn (Gloucester, MA)
1550 shares	-	2.18%	Northern Bank & Trust Company, Trustee for James J. Mawn, IRA (Woburn, MA)
1520 shares	-	2.14%	Mawn Family Children's Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau) (Gloucester, MA)
1282 shares	-	1.81%	Mary Catherine Riley (Princeton, NJ)
1153 shares	-	1.62%	Sheila E. Carpenter (Ann Arbor, MI)
795 shares	-	1.12%	Cede & Co. FBO Joseph A. Riley, IRA (Princeton, NJ)
762 shares	-	1.07%	Northern Bank & Trust Company, Trustee for Sam A. Mawn-Mahlau, IRA (Winchester, MA)
619 shares	-	.87%	Alicia J. Mawn-Mahlau (Winchester, MA)
250 shares	-	.35%	Northern Bank Trust & Company, Trustee for M. Catherine Riley, IRA (Princeton, NJ)
148 shares	-	.21%	Louise Mawn (Nashville, TN)
50 shares	-	.07%	Mary Negri and Dominic R. Negri (Woburn, MA)
36 shares	-	.05%	Marilyn Mawn (New York, NY)
30 shares	-	.04%	Rita M. Barger (Manlius, NY)
20 shares	-	.03%	Rita M. Mawn (surviving joint tenant) (Gloucester, MA)
5 shares	-	.01%	James J. Mawn, Jr. (Concord, MA)

¹ Alicia J. Mawn-Mahlau also owns a beneficial interest in 49 shares (.07%) of the Mawn and Mawn, P.C. 401(k) Plan over which she has no power to vote.

Northern Bancorp, Inc.
 Report Item 3(1) for Fiscal Year Ending 12/31/19 (Continued)

2. **Dorothy Mawn Group**²

Country of Citizenship/Incorporation/Formation: USA

Number and Percentage of Voting Securities:

9564 shares	=	13.47%	Thomas M. Mawn, Jr., Trust Fund B (Trustees: Dorothy M. Mawn, Mary Elizabeth Mawn-Ferullo and Catherine A. Webb) (Woburn, MA)
6356 shares	=	8.96%	Dorothy Mawn Grandchildren's Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn) (Woburn, MA)
3861 shares	=	5.44%	Thomas and Dorothy Mawn Family Limited Partnership (General Partners: Russell A. Mawn and Mary Elizabeth Mawn-Ferullo) (Woburn, MA)
1218 shares	=	1.72%	Mary Elizabeth Mawn-Ferullo (Woburn, MA)
385 shares	=	.54%	Dorothy Mawn Family Children's Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn) (Woburn, MA)
155 shares	=	.22%	J. Lawrence Mawn (Medford, MA)
149 shares	=	.21%	Dorothy M. Mawn, IRA (Woburn, MA)
65 shares	=	.09%	Russell A. Mawn (Washington, DC)

Note: Mary Elizabeth Mawn-Ferullo has the power to vote the following in the capacity noted:

551 shares	=	.78%	Mawn and Mawn P.C. 401(k) Plan (Trustees: Mary Elizabeth Mawn-Ferullo and Barbara E. Mearls) (Woburn, MA)
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² Mary Elizabeth Mawn-Ferullo also owns a beneficial interest in 452 shares (.64%) of the Mawn and Mawn P.C. 401(k) Plan over which she has no power to vote other than in her capacity as co-trustee with Barbara E. Mearls.

Northern Bancorp, Inc.

Report Item 3(1) for Fiscal Year Ending 12/31/19 (Continued)

3. **McCall Family (Woburn, MA)**

Country of Citizenship/Incorporation/Formation: USA

Number and Percentage of Voting Securities:

890 shares	-	1.25%	Hockenberry Joint Revocable Trust (Trustees: Catherine Hockenberry and Earle William Hockenberry, Jr.) (Great Falls, VA)
890 shares	-	1.25%	Edward McCall (Woburn, MA)
890 shares	-	1.25%	Maureen Austin (Ramona, CA)
790 shares	-	1.11%	Campbell/McCall Family Trust UA 1/4/2017 (Trustees: Paul E. Campbell and Patricia McCall) (Hernando, FL)
690 shares	-	.97%	Daniel McCall (Woburn, MA)
490 shares	-	.69%	Judith Cutts (Woburn, MA)
410 shares	-	.58%	Daniel Leo McCall (Woburn, MA)
200 shares	-	.28%	Edward H. McCall, Jr. (Carlisle, MA)
200 shares	-	.28%	Marie S. McCall 1989 Revocable Trust (Trustees: Daniel McCall and Fred Kirk) (Woburn, MA)
100 shares	-	.14%	Maureen Austin (Ramona, CA)
100 shares	-	.14%	Adam R. Cutts (Woburn, MA)
95 shares	-	.13%	Andrea M. Cutts (Woburn, MA)
95 shares	-	.13%	Alisa Conneilly (Methuen, MA)
29 shares	-	.04%	Joseph Dean McCall (Woburn, MA)
29 shares	-	.04%	Marilyn Marie McCall (Woburn, MA)

**NORTHERN BANCORP, INC.
WOBURN, MASSACHUSETTS
FISCAL YEAR ENDING DECEMBER 31, 2019**

REPORT ITEM 3(2): N/A

There are no additional securities holders, other than listed in Report Item 3(1), that owned or controlled five percent or more of any class of voting securities for Northern Bancorp, Inc. during fiscal year 2019.

**NORTHERN BANCORP, INC.
WOBURN, MASSACHUSETTS
FISCAL YEAR ENDING DECEMBER 31, 2019**

**REPORT ITEM 4: INSIDERS (DIRECTORS, EXECUTIVE OFFICERS, AND
PRINCIPAL SHAREHOLDERS >10%) – 12/31/19**

Fortunato (Fred) Salvucci (Director)

Weston, MA

Principal Occupation

N/A

Title/Position with Holding Company

Director

Title/Position with Subsidiaries

Director Northern Bank & Trust Company

Title/Position with Other Businesses

N/A

Percentage of Voting Shares in Holding Company

.13% Frederick Salvucci

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

Fred Salvucci Corp.

John C. Martini (Director)

Woburn, MA

Principal Occupation

Insurance Agent

Title/Position with Holding Company

Director

Title/Position with Subsidiaries

Director Northern Bank & Trust Company

Title/Position with Other Businesses

N/A

Percentage of Voting Shares in Holding Company

1.83% John C. Martini

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

N/A

John W. Sullivan (Director)

Boston, MA

Principal Occupation

N/A

Title/Position with Holding Company

Director

Title/Position with Subsidiaries

Director Northern Bank & Trust Company

Title/Position with Other Businesses

N/A

Percentage of Voting Shares in Holding Company

.01% John W. Sullivan

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

JWS Properties LLC (100%)

Javelin Securities LLC (50%)

Mary Elizabeth Mawn-Ferullo (Director/Treasurer)

Woburn, MA

Principal Occupation

Attorney

Title/Position with Holding Company

Director, Treasurer, Principal Shareholder

Title/Position with Subsidiaries

Director Northern Bank & Trust Company

Director Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses

Director, President,
Clerk, Treasurer Mawn and Mawn, P.C.
Trustee Mawn and Mawn P.C. 401(k) Plan, C.L.M. Realty Trust, Thomas M. Mawn, Jr. Trust Fund B, Westford Center Trust, Franklin Street Nominee Trust, Roger M. Ormes Jr. Family Insurance Trust, Thomas M. Mawn Jr. 1989 Family Irrevocable Trust, Dorothy Mawn Grandchildren's Trust, Dorothy Mawn Family Children's Trust, Josephine Dalton Trust, Calvin J. Reid Family Trust, Jessica Toby Shoher Trust
General Partner/
Limited Partner Thomas and Dorothy Mawn Family Limited Partnership
Manager Haven Properties LLC
Director Burbeen Free Lecture Fund

Percentage of Voting Shares in Holding Company

*See Dorothy Mawn Group

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

Mawn and Mawn, P.C. 50%

James J. Mawn (Director, President, Chairman)

Concord, MA

Principal Occupation

President Northern Bank & Trust Company

Title/Position with Holding Company

President, Director, Chairman

Title/Position with Subsidiaries

Director, President, CEO Northern Bank & Trust Company
Director, Clerk N. B. & T. Securities Corp. II
Director, President N.B. & T. Securities Corp. IV
Manager NBTC Holdings, LLC, NBTC Sudbury, LLC, NBTC Great Road, LLC
Director, President Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses

Director Mawn and Mawn, P.C., PV Manager, Inc.
Trustee Franklin Street Nominee Trust, C.L.M. Realty Trust, James Mawn Children's Trust, Mawn Family Children's Trust, Marital Trust under the James J. Mawn Revocable Trust, Family Trust under the

James J. Mawn Revocable Trust, Old Road Nominee Trust,
Mawn Family Mishawum Trust, Lincoln Road Trust, Mawn
Franklin Trust, Westford Center Trust, Roger M. Ormes Jr. Family
Insurance Trust, Mawn Residence Remainder Trust, 27 CML
Nominee Trust
Manager Haven Properties LLC, Mishawum Properties, LLC, Normac
Warehouse LLC, Normac Warehouse II LLC, Presidential
Ventures, LLC, Air Force One, LLC, JJM Investments, LLC
Limited Partner/
General Partner Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company

*See James Mawn Group

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

194 Mishawum Road Nominee Trust
Franklin Street Nominee Trust (35%)
Old Road Nominee Trust (50%)
Normac Warehouse LLC (1/3)
Normac Warehouse II LLC (1/3)
Lincoln Road Trust (50%)
PV Manager, Inc. (50%)
Air Force One, LLC (97.50%)
JJM Investments, LLC (100%)

Alicia J. Mawn-Mahlau (Director, Assistant Secretary)
Winchester, MA

Principal Occupation

None

Title/Position with Holding Company

Director, Assistant Secretary

Title/Position with Subsidiaries

Director, Assistant Secretary Northern Bank & Trust Company
Director, Assistant Clerk N. B. & T. Securities Corp. II
Director Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses

Director Mawn and Mawn, P.C.
Trustee Mawn Family Children's Trust, Marital Trust under the
James J. Mawn Revocable Trust, Family Trust under the
James J. Mawn Revocable Trust

Limited Partner Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company

*See James Mawn Group

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

N/A

Donald P. Queenin (Assistant Treasurer)

Woburn, MA

Principal Occupation

Executive Vice President Northern Bank & Trust Company

Title/Position with Holding Company

Assistant Treasurer

Title/Position with Subsidiaries

Director, Executive Vice President Northern Bank & Trust Company

Director, Treasurer N.B. & T. Securities Corp. II

Director, Clerk N.B. & T. Securities Corp. IV

Manager NBTC Holdings, LLC, NBTC Sudbury LLC, NBTC Great Road, LLC

Director, Clerk Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses

Chairman Woburn Redevelopment Authority

Director Massachusetts Independent Bankers (President), Woburn Public Library Foundation, Inc., Woburn Community Educational Foundation, Inc. (Treasurer)

Officer Woburn Development Corp. (President)

Member ICBA Large Bank Council

Percentage of Voting Shares in Holding Company

.01% Donald P. Queenin

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

N/A

Mary Catherine Riley (Secretary)

Princeton, NJ

Principal Occupation

None

Title/Position with Holding Company

Secretary

Title/Position with Subsidiaries

Director, Secretary Northern Bank & Trust Company

Title/Position with Other Businesses

Director	Mawn and Mawn, P.C.
Trustee	Mawn Family Children's Trust, Marital Trust under the James J. Mawn Revocable Trust, Family Trust under the James J. Mawn Revocable Trust
Limited Partner	Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company

*See James Mawn Group

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

N/A

Francis Kenney (Chief Financial Officer)

Peabody, MA

Principal Occupation

Executive Vice President/Treasurer/CFO Northern Bank & Trust Company

Title/Position with Holding Company

Chief Financial Officer

Title/Position with Subsidiaries

Executive Vice President/Treasurer/CFO	Northern Bank & Trust Company
President	N B. & T. Securities Corp. II
Treasurer	N.B. & T. Securities Corp. IV, Northern 1031 Exchange LLC
Manager	NBTC Realty, LLC, NBTC Holdings, LLC, NBTC Sudbury LLC, NBTC Great Road, LLC
Treasurer	Northern Bank & Trust Charitable Foundation, Inc.
Trustee	289 Great Road Realty Trust

Title/Position with Other Businesses

N/A

Percentage of Voting Shares in Holding Company

N/A

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

N/A

James Mawn Group (Principal Shareholder)

Woburn, MA

Principal Occupation

N/A

Title/Position with Holding Company

Principal Shareholder

Title/Position with Subsidiaries

N/A

Title/Position with Other Businesses

N/A

Percentage of Voting Shares in Holding Company¹

11.54%	Mawn Family Limited Partnership (General Partners: James J. Mawn, Jr. and Marilyn C. Mawn)
5.21%	Marital Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn)
5.12%	James J. Mawn (Jr.)
2.97%	Family Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn)
2.34%	Rita M. Mawn
2.18%	Northern Bank & Trust Company, Trustee for James J. Mawn, IRA
2.14%	Mawn Family Children's Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau)
1.81%	Mary Catherine Riley
1.62%	Sheila E. Carpenter
1.12%	Cede & Co. FBO Joseph A. Riley, IRA

¹ Alicia J. Mawn-Mahlau also owns a .07% beneficial interest in the Mawn and Mawn P.C. 401(k) Plan over which she has no power to vote.

1.07%	Northern Bank & Trust Company, Trustee for Sam A. Mawn-Mahlau, IRA
.87%	Alicia J. Mawn-Mahlau
.35%	Northern Bank Trust & Company, Trustee for M. Catherine Riley,
.21%	Louise Mawn
.07%	Mary Negri and Dominic R. Negri
.05%	Marilyn Mawn
.04%	Rita M. Barger
.03%	Rita M. Mawn
.01%	James J. Mawn, Jr.

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

N/A

Dorothy Mawn Group (Principal Shareholder)
Woburn, MA

Principal Occupation

N/A

Title/Position with Holding Company

Principal Shareholder

Title/Position with Subsidiaries

N/A

Title/Position with Other Businesses

N/A

Percentage of Voting Shares in Holding Company²

13.47%	Thomas M. Mawn, Jr., Trust Fund B (Trustees: Dorothy M. Mawn, Mary Elizabeth Mawn-Ferullo and Catherine A. Webb)
8.96%	Dorothy Mawn Grandchildren's Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn)
5.44%	Thomas and Dorothy Mawn Family Limited Partnership (General Partners: Russell A. Mawn and Mary Elizabeth Mawn-Ferullo)
1.72%	Mary Elizabeth Mawn-Ferullo
.54%	Dorothy Mawn Family Children's Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn)
.22%	J. Lawrence Mawn
.21%	Dorothy M. Mawn

² Mary Elizabeth Mawn also owns a .64% beneficial interest in Mawn and Mawn P.C. 401(k) Plan over which she has no additional power to vote, other than in her capacity as co-trustee with Barbara E. Mearls.

.09% Russell A. Mawn

Note: Mary Elizabeth Mawn-Ferullo has the power to vote the following in the capacity noted:

.78% Mawn and Mawn P.C. 401(k) Plan (Trustees: Mary Elizabeth Mawn-Ferullo and Barbara E. Mearls)

Percentage of Voting Shares in Subsidiaries

N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held

N/A