

Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

**Report at the close of business as of the end of fiscal year**

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

**NOTE:** The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

**Date of Report (top-tier holding company's fiscal year-end):**

**December 31, 2020**

Month / Day / Year

**N/A**

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

**I, Paul A. Perrault**

**Brookline Bancorp, Inc.**

Name of the Holding Company Director and Official

Legal Title of Holding Company

**President and Chief Executive Officer**

**131 Clarendon Street**

Title of the Holding Company Director and Official

(Mailing Address of the Holding Company) Street / P.O. Box

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

**Boston**

**MA**

**02117**

City

State

Zip Code

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

**Donald Cross**

**Controller**

Name

Title

**617-425-5352**

Area Code / Phone Number / Extension

**617-425-4662**

Area Code / FAX Number

**Dcross@brkl.com**

E-mail Address

**www.brooklinebancorp.com**

Address (URL) for the Holding Company's web page

Signature of Holding Company Director and Official

**3/31/2021**

Date of Signature

**For holding companies not registered with the SEC—**  
 Indicate status of Annual Report to Shareholders:

- Is included with the FR Y-6 report
- will be sent under separate cover
- Is not prepared

**For Federal Reserve Bank Use Only**

RSSD ID \_\_\_\_\_

C.I. \_\_\_\_\_

Is confidential treatment requested for any portion of this report submission? .....

0=No

1=Yes

**0**

In accordance with the General Instructions for this report (check only one),

- 1. a letter justifying this request is being provided along with the report.....
- 2. a letter justifying this request has been provided separately ...

**NOTE:** Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

## For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

Legal Title of Subsidiary Holding Company

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

Physical Location (if different from mailing address)

Legal Title of Subsidiary Holding Company

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

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City State Zip Code

Physical Location (if different from mailing address)

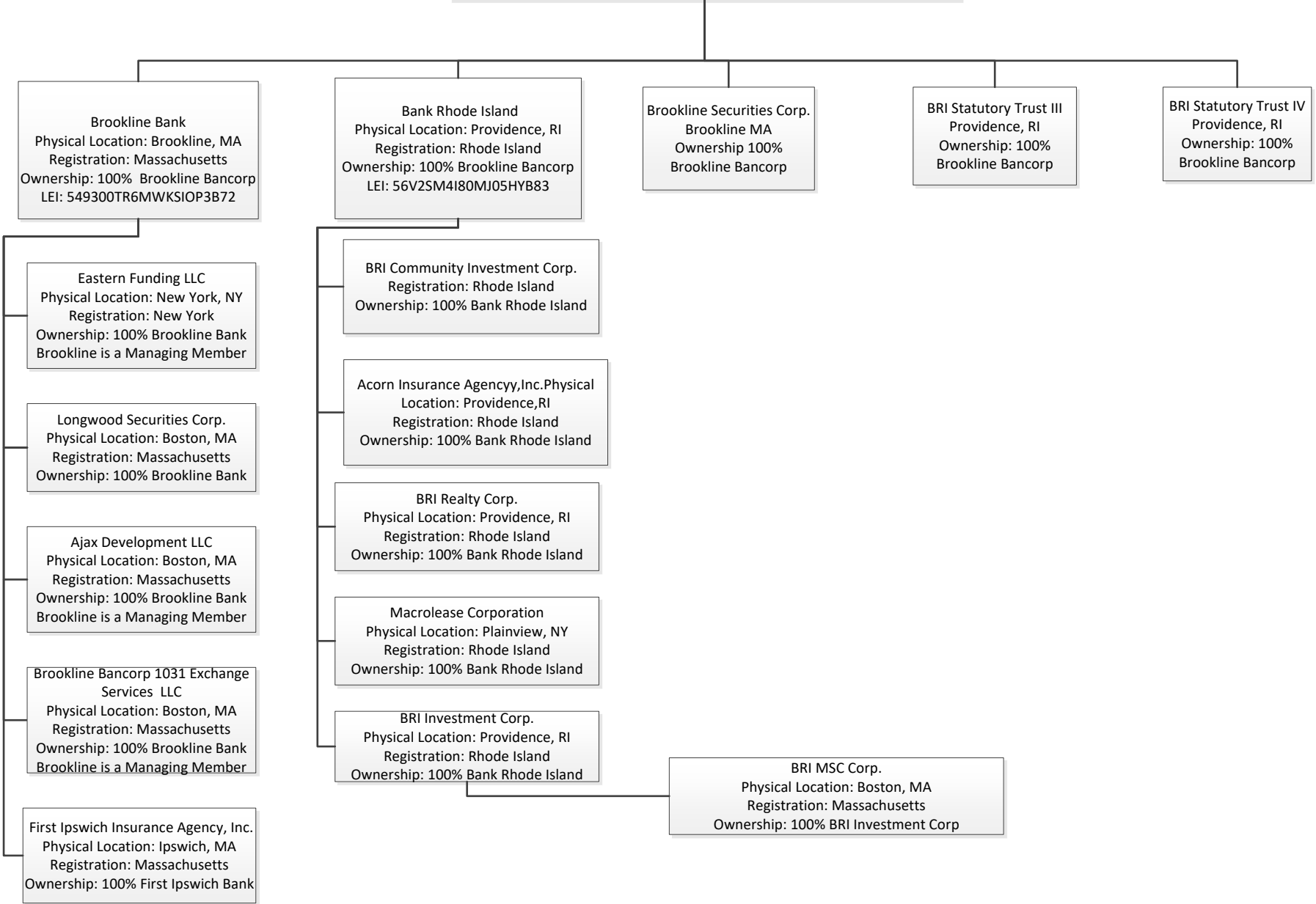
Legal Title of Subsidiary Holding Company

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

Physical Location (if different from mailing address)

Brookline Bancorp, Inc.  
Physical Location: Boston, MA Incorporation: Delaware



Results: A list of branches for your holding company: **BROOKLINE BANCORP, INC. (2631510) of BOSTON, MA.**  
 The data are as of 12/31/2020. Data reflects information that was received and processed through 01/05/2021.

**Reconciliation and Verification Steps**

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the **Effective Date** column

**Actions**

- OK:** If the branch information is correct, enter 'OK' in the **Data Action** column.
- Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.
- Close:** If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.
- Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.
- Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information. If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:  
 To satisfy the **FR Y-10 reporting requirements**, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.  
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID	RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID	RSSD*	Comments
OK		Full Service (Head Office)	2434113		BANK RHODE ISLAND	ONE TURKS HEAD PLACE	PROVIDENCE	RI	02903	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	4461328		COVENTRY BRANCH	3 COVENTRY SHOPPERS PARK	COVENTRY	RI	02816	KENT	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	2211770		ATWOOD AVENUE BRANCH	383 ATWOOD AVENUE	CRANSTON	RI	02920	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	2211761		PARK AVENUE BRANCH	1047 PARK AVENUE	CRANSTON	RI	02910	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	4282578		PLAINFIELD PIKE BRANCH	2104 PLAINFIELD PIKE	CRANSTON	RI	02921	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	3534243		EAST GREENWICH BRANCH	1269 SOUTH COUNTY TRAIL	EAST GREENWICH	RI	02818	KENT	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	2211798		HIGHLAND AVENUE BRANCH	77 HIGHLAND AVENUE	EAST PROVIDENCE	RI	02914	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	933001		TALMONT AVE BRANCH	125 TALMONT AVE	EAST PROVIDENCE	RI	02914	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	930509		JOHNSTON BRANCH	1490 HARTFORD AVE	JOHNSTON	RI	02919	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	3534261		LINCOLN BRANCH	625 B GEORGE WASHINGTON HIGHWAY	LINCOLN	RI	02865	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	5084108		MIDDLETOWN BRANCH	132 EAST MAIN ROAD	MIDDLETOWN	RI	02842	NEWPORT	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	3534270		NORTH KINGTOWN BRANCH	1140 TEN ROD ROAD	NORTH KINGSTOWN	RI	02852	WASHINGTON	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	3650303		PAWTUCKET BRANCH	499 SMITHFIELD AVENUE	PAWTUCKET	RI	02860	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	3534289		EAST SIDE BRANCH	137 PITMAN STREET	PROVIDENCE	RI	02906	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	808505		SMITHFIELD BRANCH	445 PUTNAM PIKE	SMITHFIELD	RI	02917	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	4721134		WAKEFIELD BRANCH	290 MAIN STREET	WAKEFIELD	RI	02879	WASHINGTON	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	5213784		265 JEFFERSON BLVD BRANCH	265 JEFFERSON BLVD	WARWICK	RI	02888	KENT	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	3534298		BUTTONWOODS BRANCH	2975 WEST SHORE ROAD	WARWICK	RI	02886	KENT	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	992703		WARWICK AVE-GRF BRANCH	1300 WARWICK AVENUE	WARWICK	RI	02888	KENT	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service	2658544		WOMNSOCKET BRANCH	177 CUMBERLAND HILL ROAD	WOMNSOCKET	RI	02895	PROVIDENCE	UNITED STATES	Not Required	Not Required	BANK RHODE ISLAND	2434113		
OK		Full Service (Head Office)	782306		BROOKLINE BANK	2 HARVARD STREET	BROOKLINE	MA	02445	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	2926001		ARLINGTON BRANCH	856 MASSACHUSETTS AVE	ARLINGTON	MA	02476	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	3127663		BEDFORD BRANCH	168 GREAT ROAD UNIT A	BEDFORD	MA	01730	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	4502324		CLARENDON STREET BRANCH	131 CLARENDON STREET	BOSTON	MA	02117	SUFFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	4759632		STATE STREET BRANCH	33 STATE ST.	BOSTON	MA	02109	SUFFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	167408		COOLIDGE CORNER BRANCH	1324 BEACON STREET	BROOKLINE	MA	02446	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	812994		LONGWOOD BRANCH	1016 BEACON STREET	BROOKLINE	MA	02446	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	722209		WASHINGTON SQUARE BRANCH	1661 BEACON STREET	BROOKLINE	MA	02445	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	4176314		BURLINGTON MALL BRANCH	72 BURLINGTON MALL ROAD	BURLINGTON	MA	01803	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	4934868		CHESTNUT HILL	1220 BOYLSTON STREET	CHESTNUT HILL	MA	02467	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	721707		PUTTERHAM CIRCLE BRANCH	1018 WEST ROXBURY PARKWAY	CHESTNUT HILL	MA	02467	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	5106493		DANVERS BRANCH	107 HIGH STREET	DANVERS	MA	01923	ESSEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	534505		ESSEX OFFICE	8-10 MARTIN STREET	ESSEX	MA	01929	ESSEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	534907		GLOUCESTER BRANCH	207 MAIN STREET	GLOUCESTER	MA	01930	ESSEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	442806		FIRST IPSWICH BANK BRANCH	31 MARKET STREET	IPSWICH	MA	01938	ESSEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	2748874		LEXINGTON BRANCH	1793 MASSACHUSETTS AVE	LEXINGTON	MA	02420	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	3212420		MALDEN BRANCH	196 COMMERCIAL STREET	MALDEN	MA	02148	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	67777		MEDFORD SQUARE OFFICE	60 HIGH STREET	MEDFORD	MA	02155	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	2372886		SALEM STREET BRANCH	201 SALEM STREET	MEDFORD	MA	02155	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	683300		WEST MEDFORD BRANCH	430 HIGH ST	MEDFORD	MA	02155	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	4176323		NEEDHAM BRANCH	902 HIGHLAND AVENUE	NEEDHAM HEIGHTS	MA	02494	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	2505273		NEWBURYPORT BRANCH	155 STATE STREET	NEWBURYPORT	MA	01950	ESSEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	2956277		NEWTON CENTRE BRANCH	TEN LANGLEY ROAD	NEWTON	MA	02459	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	3449226		NEWTON HIGHLANDS BRANCH	1160 WALNUT STREET	NEWTON	MA	02461	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	3380909		NEWTONVILLE BRANCH	323 WALNUT STREET	NEWTONVILLE	MA	02460	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	2727945		ROWLEY BRANCH	144 NEWBURYPORT TURNPIKE	ROWLEY	MA	01969	ESSEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	4586472		WALTHAM BRANCH	456 MAIN STREET	WALTHAM	MA	02453	MIDDLESEX	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	414061		WELLESLEY HILLS BRANCH	146 WASHINGTON STREET	WELLESLEY	MA	02482	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	4184663		WELLESLEY LOWER FALLS BRANCH	5 WASHINGTON STREET	WELLESLEY HILLS	MA	02481	NORFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		
OK		Full Service	4176332		WEST ROXBURY BRANCH	1808 CENTRE STREET	WEST ROXBURY	MA	02132	SUFFOLK	UNITED STATES	Not Required	Not Required	BROOKLINE BANK	782306		

## SECURITY OWNERSHIP OF CERTAIN BENEFICIAL OWNERS, DIRECTORS AND MANAGEMENT

Persons and groups who beneficially own in excess of five percent of the Company's common stock are required to file certain reports with the SEC regarding ownership. The following table sets forth, as of the Record Date, the shares of common stock beneficially owned by each person who was the beneficial owner of more than five percent of the Company's common stock, including shares owned by its directors.

Name and Address of Owner	Shares of Common Stock	Percent of Class of Ownership
BlackRock, Inc. <sup>(1)</sup> 55 East 52nd Street New York, NY 10055	11,667,496	14.80%
The Vanguard Group, Inc. <sup>(2)</sup> 100 Vanguard Blvd. Malvern, PA 19355	8,301,322	10.5%
Dimensional Fund Advisors LP <sup>(3)</sup> Building One 6300 Bee Cave Road Austin, TX 78746	5,391,205	6.80%

- 1 Based exclusively on a Schedule 13G/A filed by BlackRock, Inc. on February 4, 2021. The filer claimed sole power to vote or to direct the vote of 11,551,027 shares and the sole power to dispose or to direct the disposition of 11,667,496 shares.
- 2 Based exclusively on a Schedule 13G/A filed by The Vanguard Group, Inc. on February 8, 2021. The filer claimed the sole power to dispose or to direct the disposition of 8,144,811 shares.
- 3 Based exclusively on a Schedule 13G filed by Dimensional Fund Advisors LP on February 16, 2021. The filer claims sole power to vote or direct the vote of 5,161,510 shares and the sole power to dispose or direct the disposition of 5,391,205 shares.

**Form FR Y-6**  
**Brookline Bancorp, Inc.**  
**Boston, Massachusetts**  
**Fiscal Year Ending December 31, 2020**

**Report Item 4: Insiders**

(1), (2), (3)(a)(b)(c) and (4)(a)(b)(c)

(1)(a) Name, City, State, Country	(2) Principal Occupation if other than with Holding Company	(3)(a) Title & Position with Holding Company	(3)(b) Title & Position with Subsidiaries	(3)(c) Title & Position with other businesses	(4)(a) Percentage of Voting Shares in Holding Company
Joanne B. Chang Boston, MA USA	Owner, Myers & Chang, Flour Bakery	Director	N/A	N/A	0.01%
David C. Chapin Wellesley, MA USA	Principal, Chapin Properties	Director	N/A	N/A	0.15%
John J. Doyle, Jr. Weston, MA USA	Retired	Director	N/A	N/A	0.05%
Margaret Boles Fitzgerald Wellesley, MA USA	Volunteer	Director	N/A	N/A	0.04%
John A. Hackett Stoneham, MA USA	Controller, JJ Rudy Insurance	Director	N/A	N/A	0.05%
John L. Hall II Brookline, MA USA	President, Hall Properties, Inc.	Director	N/A	N/A	0.27%
Thomas J. Hollister Boston, MA USA	Chief Financial Officer and Vice President of Finance of Harvard University	Director	N/A	N/A	0.05%
Bogdan Nowak E. Greenwich, RI USA	President, Rhode Island Novelty	Director	N/A	N/A	0.17%
Charles Peck Weston, MA USA	Retired	Director	N/A	N/A	0.18%
John P. Pereira Milton, MA USA	President & CEO, Combined Properties	Director	N/A	N/A	0.03%
Merrill W. Sherman Providence, RI USA	Owner, Sherman Consulting LLC	Director	N/A	N/A	0.05%
Joseph J. Slotnik Fisher Island, FL USA	Retired	Director	N/A	N/A	0.24%
Peter O. Wilde Chestnut Hill, MA USA	Retired	Director	N/A	N/A	0.23%

Paul A. Perrault Chestnut Hill, MA USA	N/A	President & CEO	N/A	N/A	0.60%
Janytra L. Brooks Waltham, MA	N/A	Chief Human Resources Officer	N/A	N/A	0.001%
Carl M. Carlson Wellesley, MA USA	N/A	Chief Financial Officer	N/A	N/A	0.12%
James M. Cosman Hingham, MA USA	N/A	Chief Operations Officer	N/A	N/A	0.06%
Michael W. McCurdy Wellesley, MA USA	N/A	General Counsel, CRO & Secretary	N/A	N/A	0.04%
M. Robert Rose Wellesley, MA USA	N/A	Chief Credit Officer	N/A	N/A	0.10%
Mark J. Meiklejohn South Kingstown, RI USA	N/A	N/A	President & CEO, Bank Rhode Island	N/A	0.08%
Darryl J. Fess Plainville, MA USA	N/A	N/A	President & CEO, Brookline Bank	N/A	0.05%