Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5488(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, James J. Mawn
Name of the Holding Company Director and Official

Director and President

Title of the Holding Company Director and Official

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official
March 24, 2021

Date of Signature

For holding companies not registered with the SEC—Indicate status of Annual Report to Shareholders:
☑ is included with the FR Y-6 report
☐ will be sent under separate cover
☐ is not prepared

For Federal Reserve Bank Use Only

RSSD ID
C.I.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

Date of Report (top-tier holding company's fiscal year-end):
December 31, 2020

Month / Day / Year

N/A

Reporters Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporters Name, Street, and Mailing Address

Northern Bancorp, Inc.
Legal Title of Holding Company

275 Mishawum Road
(Mailing Address of the Holding Company) Street / P.O. Box

Woburn MA 01801
City State Zip Code

(same)

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:
Francis Kenney
CFO

Name Title
(781) 937-5400
Area Code / Phone Number / Extension
(781) 937-5416
Area Code / FAX Number

fkenney@nbtc.com
E-mail Address

N/A (subsidiary bank = www.nbtc.com)
Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? ☐ No ☑ Yes 0

In accordance with the General Instructions for this report (check only one),
1. a letter justifying this request is being provided along with the report ☐
2. a letter justifying this request has been provided separately ☐

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.
NORTHERN BANCORP, INC.
ANNUAL REPORT OF BANK HOLDING COMPANIES – FR Y-6
FISCAL YEAR END DECEMBER 31, 2020

REPORT ITEM 1: Enclosed is a copy of the Audited Consolidated Financial Statements for Northern Bancorp, Inc. and Subsidiary Years Ended December 31, 2020 and December 31, 2019

REPORT ITEM 2A: Organizational Chart (business address for all entities is 275 Mishawum Road, Woburn, MA 01801; all entities are incorporated in Massachusetts; LEI is not applicable unless otherwise noted)

<table>
<thead>
<tr>
<th>(100%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
<tr>
<td>NORTHERN BANCORP, INC.</td>
</tr>
<tr>
<td>(100%)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Northern Bank &amp; Trust Company</td>
</tr>
<tr>
<td>(LEI #: 549300EE39SNC5DXTC46)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>(100%)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>N.B. &amp; T. Securities Corp. II</td>
</tr>
<tr>
<td>(100%)</td>
</tr>
<tr>
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</tr>
<tr>
<td>N.B. &amp; T. Securities Corp. IV</td>
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<tr>
<td>(100%)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>NBTC Realty LLC* 289 Great Road Realty Trust</td>
</tr>
<tr>
<td>(100%)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Northern Bank &amp; Trust Company is sole member and appoints individual bank officers as managers.</td>
</tr>
<tr>
<td>** Northern Bank &amp; Trust Company is sole member and managing member.</td>
</tr>
<tr>
<td>(100%)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>NBTC 1031 Exchange LLC**</td>
</tr>
<tr>
<td>(100%)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>NBTC Great Road, LLC*</td>
</tr>
<tr>
<td>(100%)</td>
</tr>
</tbody>
</table>
REPORT ITEM 2B (DOMESTIC BRANCH LISTING): Attached is a copy of the domestic branch listing for Northern Bancorp, Inc. (#1246935). There are no changes to the listing as of fiscal year end 2020.
### Reconciliation and Verification Steps
1. In the Data Action column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the Effective Date column.

### Actions
- **OK**: If the branch information is correct, enter "OK" in the Data Action column.
- **Change**: If the branch information is incorrect or incomplete, revise the data, enter "Change" in the Data Action column and the data when this information first became valid in the Effective Date column.
- **Close**: If a branch listed was closed or closed, enter "Close" in the Data Action column and the date the branch was closed in the Effective Date column.
- **Delete**: If a branch closed was never owned by this depository institution, enter "Delete" in the Data Action column.
- **Add**: If a new branch is missing, insert a new branch row. Enter "Add" in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

### Submission Procedure
When you are finished, send a saved copy to your FR contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FR contact, put your institution name, city and state in the subject line of the e-mail.

### Note:
To satisfy the FR Y-10 reporting requirements, you must submit FR Y-10 Domestic Branch Schedules for each branch with a Date of Action of Change, Close, Delete, or Add.

### FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 online application – https://www.fr-y10.reserve.frb.gov.

* Fdic UDNUM, Office Number, and Branch ID_RSSD columns are for reference only. Verification of these values is not required.

### Table:

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<th>Data Action</th>
<th>Effective Date</th>
<th>Branch ID_RSSD</th>
<th>Popular Name</th>
<th>Street Address</th>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
<th>County</th>
<th>Country</th>
<th>FDIC UDNUM</th>
<th>Office Number</th>
<th>Head Office</th>
<th>Head Office ID_RSSD</th>
<th>Comments</th>
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<td>Full Service</td>
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<td>NORTHERN BANK &amp; TRUST COMPANY</td>
<td>170 ASHVIEW ROAD</td>
<td>MIDDLETOWN</td>
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<td>06457</td>
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<td>Not Required</td>
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<td>519507</td>
<td>ACTON BRANCH</td>
<td>164 MARSHALL AVENUE</td>
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<td>MA</td>
<td>01720</td>
<td>MIDDLETOWN</td>
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<td>Not Required</td>
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<td></td>
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<td>402 BOSTON RD</td>
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<td>Not Required</td>
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<td>34 LITTLETON ROAD</td>
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<td>Full Service</td>
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<td>MILBROOK BRANCH</td>
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<td>Full Service</td>
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<td>SEABROOK BRANCH</td>
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<td>Full Service</td>
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<td>Full Service</td>
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<td>MA</td>
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<td>MIDDLETOWN</td>
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<td>Not Required</td>
<td>Not Required</td>
<td>NORTHERN BANK &amp; TRUST COMPANY</td>
<td>9900096</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**NORTHERN BANCORP, INC.**  
**WOBURN, MASSACHUSETTS**  
**FISCAL YEAR ENDING DECEMBER 31, 2020**

**REPORT ITEM 3(1): SEcurities Holders > 5% As Of 12/31/2020**

1. **James Mawn Group**
   
   **Country of Citizenship/Incorporation/Formation:** USA
   
   **Number and Percentage of Voting Securities:**
   
<table>
<thead>
<tr>
<th>Shares</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>8190</td>
<td>11.54%</td>
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<tr>
<td>3700</td>
<td>5.21%</td>
</tr>
<tr>
<td>3632</td>
<td>5.12%</td>
</tr>
<tr>
<td>2110</td>
<td>2.97%</td>
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<tr>
<td>1662</td>
<td>2.34%</td>
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<tr>
<td>1574</td>
<td>2.22%</td>
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<tr>
<td>1496</td>
<td>2.11%</td>
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<tr>
<td>1282</td>
<td>1.81%</td>
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<tr>
<td>1153</td>
<td>1.62%</td>
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<tr>
<td>795</td>
<td>1.12%</td>
</tr>
<tr>
<td>762</td>
<td>1.07%</td>
</tr>
<tr>
<td>619</td>
<td>.87%</td>
</tr>
<tr>
<td>250</td>
<td>.35%</td>
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<tr>
<td>148</td>
<td>.21%</td>
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<td>50</td>
<td>.07%</td>
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<td>36</td>
<td>.05%</td>
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<td>30</td>
<td>.04%</td>
</tr>
<tr>
<td>20</td>
<td>.03%</td>
</tr>
<tr>
<td>5</td>
<td>.01%</td>
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</table>

   **Mawn Family Limited Partnership (General Partners: James J. Mawn, Jr. and Marilyn C. Mawn) (Woburn, MA)**
   
   **Marital Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn) (Gloucester, MA)**
   
   **Family Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn) (Gloucester, MA)**
   
   **Mawn Family Children’s Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau) (Gloucester, MA)**
   
   **Mawn Family Children’s Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau) (Gloucester, MA)**
   
   **Northern Bank & Trust Company, Trustee for James J. Mawn, IRA (Woburn, MA)**
   
   **Mary Catherine Riley (Princeton, NJ)**
   
   **Sheila E. Carpenter (Ann Arbor, MI)**
   
   **Cede & Co. FBO Joseph A. Riley IRA (Princeton, NJ)**
   
   **Northern Bank & Trust Company, Trustee for Sam A. Mawn-Mahlau, IRA (Winchester, MA)**
   
   **Alicia J. Mawn-Mahlau (Winchester, MA)**
   
   **Northern Bank Trust & Company, Trustee for M. Catherine Riley, IRA (Princeton, NJ)**
   
   **Louise Mawn (Nashville, TN)**
   
   **Mary Negri and Dominic R. Negri (Woburn, MA)**
   
   **Marilyn Mawn (New York, NY)**
   
   **Rita M. Barger (Manlius, NY)**
   
   **Rita M. Mawn (surviving JT.) (Gloucester, MA)**
   
   **James J. Mawn, Jr. (Concord, MA)**

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1 Alicia J. Mawn-Mahlau also owns a beneficial interest in 49 shares (.07%) of the Mawn and Mawn, P.C. 401(k) Plan over which she has no power to vote.
Northern Bancorp, Inc.
Report Item 3(1) for Fiscal Year Ending 12/31/2020 (Continued)

2. **Dorothy Mawn Group**
   Country of Citizenship/Incorporation/Formation: USA
   Number and Percentage of Voting Securities:

<table>
<thead>
<tr>
<th>Shares</th>
<th>%</th>
<th>説明</th>
</tr>
</thead>
<tbody>
<tr>
<td>9564</td>
<td>13.47%</td>
<td>Thomas M. Mawn, Jr., Trust Fund B (Trustees: Dorothy M. Mawn, Mary Elizabeth Mawn-Ferullo and Catherine A. Webb) (Woburn, MA)</td>
</tr>
<tr>
<td>6356</td>
<td>8.96%</td>
<td>Dorothy Mawn Grandchildren’s Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn) (Woburn, MA)</td>
</tr>
<tr>
<td>3861</td>
<td>5.44%</td>
<td>Thomas and Dorothy Mawn Family Limited Partnership (General Partners: Russell A. Mawn and Mary Elizabeth Mawn-Ferullo) (Woburn, MA)</td>
</tr>
<tr>
<td>1218</td>
<td>1.72%</td>
<td>Mary Elizabeth Mawn-Ferullo (Woburn, MA)</td>
</tr>
<tr>
<td>385</td>
<td>.54%</td>
<td>Dorothy Mawn Family Children’s Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn) (Woburn, MA)</td>
</tr>
<tr>
<td>155</td>
<td>.22%</td>
<td>J. Lawrence Mawn (Medford, MA)</td>
</tr>
<tr>
<td>149</td>
<td>.21%</td>
<td>Dorothy M. Mawn (Personal Representative: Mary Elizabeth Mawn-Ferullo) (Woburn, MA)</td>
</tr>
<tr>
<td>65</td>
<td>.09%</td>
<td>Russell A. Mawn (Washington, DC)</td>
</tr>
</tbody>
</table>

Note: *Mary Elizabeth Mawn-Ferullo has the power to vote the following in the capacity noted:*

<table>
<thead>
<tr>
<th>Shares</th>
<th>%</th>
<th>説明</th>
</tr>
</thead>
<tbody>
<tr>
<td>551</td>
<td>.78%</td>
<td>Mawn and Mawn P.C. 401(k) Plan (Trustees: Mary Elizabeth Mawn-Ferullo and Barbara E. Mearls) (Woburn, MA)</td>
</tr>
</tbody>
</table>

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2 Mary Elizabeth Mawn-Ferullo also owns a beneficial interest in 452 shares (.64%) of the Mawn and Mawn P.C. 401(k) Plan over which she has no power to vote other than in her capacity as co-trustee with Barbara E. Mearls.
3. **McCall Family (Woburn, MA)**

Country of Citizenship/Incorporation/Formation: USA

<table>
<thead>
<tr>
<th>Number of Shares</th>
<th>Percentage</th>
<th>Holder Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>890 shares</td>
<td>1.25%</td>
<td>Hockenberry Joint Revocable Trust (Trustees: Catherine Hockenberry and Earle William Hockenberry, Jr.) (Great Falls, VA)</td>
</tr>
<tr>
<td>890 shares</td>
<td>1.25%</td>
<td>Edward McCall (Woburn, MA)</td>
</tr>
<tr>
<td>890 shares</td>
<td>1.25%</td>
<td>Maureen Austin (Ramona, CA)</td>
</tr>
<tr>
<td>990 shares</td>
<td>1.39%</td>
<td>Campbell/McCall Family Trust UA 1/4/2017 (Trustees: Paul E. Campbell and Patricia McCall) (Hernando, FL)</td>
</tr>
<tr>
<td>690 shares</td>
<td>0.97%</td>
<td>Daniel McCall (Woburn, MA)</td>
</tr>
<tr>
<td>490 shares</td>
<td>0.69%</td>
<td>Judith Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>410 shares</td>
<td>0.58%</td>
<td>Daniel Leo McCall (Woburn, MA)</td>
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<tr>
<td>200 shares</td>
<td>0.28%</td>
<td>Edward H. McCall, Jr. (Carlisle, MA)</td>
</tr>
<tr>
<td>100 shares</td>
<td>0.14%</td>
<td>Maureen Austin (Ramona, CA)</td>
</tr>
<tr>
<td>100 shares</td>
<td>0.14%</td>
<td>Adam R. Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>95 shares</td>
<td>0.13%</td>
<td>Andrea M. Cutts (Woburn, MA)</td>
</tr>
<tr>
<td>95 shares</td>
<td>0.13%</td>
<td>Alisa Conneilly (Methuen, MA)</td>
</tr>
<tr>
<td>29 shares</td>
<td>0.04%</td>
<td>Joseph Dean McCall (Woburn, MA)</td>
</tr>
<tr>
<td>29 shares</td>
<td>0.04%</td>
<td>Marilyn Marie McCall (Woburn, MA)</td>
</tr>
</tbody>
</table>
REPORT ITEM 3(2):  N/A
There are no additional securities holders, other than listed in Report Item 3(1), that owned or controlled five percent or more of any class of voting securities for Northern Bancorp, Inc. during fiscal year 2020.
NORTHERN BANCORP, INC.
WOBURN, MASSACHUSETTS
FISCAL YEAR ENDING DECEMBER 31, 2020

REPORT ITEM 4: INSIDERS (DIRECTORS, EXECUTIVE OFFICERS, AND PRINCIPAL SHAREHOLDERS >10%) – 12/31/2020

Fortunato (Fred) Salvucci (Director)
Weston, MA
Principal Occupation
N/A

Title/Position with Holding Company
Director

Title/Position with Subsidiaries
Director Northern Bank & Trust Company

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
.13% Frederick Salvucci

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
Fred Salvucci Corp. (34.6%)

John C. Martini (Director)
Woburn, MA
Principal Occupation
Insurance Agent

Title/Position with Holding Company
Director

Title/Position with Subsidiaries
Director Northern Bank & Trust Company

Title/Position with Other Businesses
N/A
Percentage of Voting Shares in Holding Company
1.83%   John C. Martini

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

John W. Sullivan (Director)
Boston, MA

Principal Occupation
N/A

Title/Position with Holding Company
Director

Title/Position with Subsidiaries
Director    Northern Bank & Trust Company

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
.01%   John W. Sullivan

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
JWS Properties LLC (100%)
Javelin Securities LLC (50%)

Mary Elizabeth Mawn-Ferullo (Director/Treasurer)
Woburn, MA

Principal Occupation
Attorney

Title/Position with Holding Company
Director, Treasurer, Principal Shareholder

Title/Position with Subsidiaries
Director    Northern Bank & Trust Company
Director    Northern Bank & Trust Charitable Foundation, Inc.
Title/Position with Other Businesses
Director, President, 
Clerk, Treasurer         Mawn and Mawn, P.C.
Trustee                  Mawn and Mawn P.C. 401(k) Plan, Thomas M. Mawn, Jr. Trust 
                        Fund B, Westford Center Trust, Franklin Street Nominee Trust, 
                        Roger M. Ormes Jr. Family Insurance Trust, Thomas M. Mawn Jr.  
                        1989 Family Irrevocable Trust, Dorothy Mawn Grandchildren’s  
                        Trust, Dorothy Mawn Family Children’s Trust, Josephine Dalton  
                        Trust, Calvin J. Reid Family Trust, Jessica Toby Shoher Trust 
General Partner/        Thomas and Dorothy Mawn Family Limited Partnership 
Limited Partner         BUNCRANA LLC 
Manager                 Burbeen Free Lecture Fund 
Director                

Percentage of Voting Shares in Holding Company 
*See Dorothy Mawn Group 

Percentage of Voting Shares in Subsidiaries 
N/A 

Percentage of Voting Shares in Other Companies of 25% or More is Held 
Mawn and Mawn, P.C. 50% 

James J. Mawn (Director, President, Chairman) 
Concord, MA 
Principal Occupation 
President         Northern Bank & Trust Company 

Title/Position with Holding Company 
President, Director, Chairman 

Title/Position with Subsidiaries 
Director, President, CEO Northern Bank & Trust Company 
Director, Clerk            N. B. & T. Securities Corp. II 
Director, President        N.B. & T. Securities Corp. IV 
Manager                    NBTC Holdings, LLC, NBTC Sudbury, 
                            LLC, NBTC Great Road, LLC 
Director, President        Northern Bank & Trust Charitable 
                            Foundation, Inc. 

Title/Position with Other Businesses 
Director         Mawn and Mawn, P.C., PV Manager, Inc. 
Trustee          Franklin Street Nominee Trust, James Mawn Children’s Trust, 
                        Mawn Family Children’s Trust, Marital Trust under the James J. 
                        Mawn Revocable Trust, Family Trust under the James J. Mawn 
                        Revocable Trust, Old Road Nominee Trust, Mawn Family
Mishawum Trust, Mawn Franklin Trust, Westford Center Trust, 
Roger M. Ormes Jr. Family Insurance Trust, Mawn Residence 
Remainder Trust, 27 CML Nominee Trust, 198 Mishawum Road 
Realty Trust

Manager
BUNCRANA LLC, Mishawum Properties, LLC, Normac 
Warehouse LLC, Normac Warehouse II LLC, Presidential 
Ventures, LLC, Air Force One, LLC, JIM Investments, LLC, 
J.N. Marine LLC, Two Nine Domino LLC

Limited Partner/ 
General Partner 
Mawn Family Limited Partnership

**Percentage of Voting Shares in Holding Company**
*See James Mawn Group

**Percentage of Voting Shares in Subsidiaries**
N/A

**Percentage of Voting Shares in Other Companies of 25% or More is Held**
194 Mishawum Road Nominee Trust (50%)
Franklin Street Nominee Trust (35%)
Old Road Nominee Trust (50%)
Normac Warehouse LLC (1/3)
Normac Warehouse II LLC (1/3)
PV Manager, Inc. (50%)
Air Force One, LLC (97.50%)
JIM Investments, LLC (100%)
J.N. Marine LLC (50%)

**Alicia J. Mawn-Mahlau (Director, Assistant Secretary)**
**Winchester, MA**

**Principal Occupation**
None

**Title/Position with Holding Company**
Director, Assistant Secretary

**Title/Position with Subsidiaries**
Director, Assistant Secretary Northern Bank & Trust Company
Director, Assistant Clerk N. B. & T. Securities Corp. II
Director Northern Bank & Trust Charitable Foundation, Inc.

**Title/Position with Other Businesses**
Director Mawn and Mawn, P.C.
Trustee Mawn Family Children's Trust, Marital Trust under the 
James J. Mawn Revocable Trust, Family Trust under the 
James J. Mawn Revocable Trust
Limited Partner        Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company
    *See James Mawn Group

Percentage of Voting Shares in Subsidiaries
    N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
    N/A

Donald P. Queenin (Assistant Treasurer)
     Woburn, MA

    Principal Occupation
    Executive Vice President       Northern Bank & Trust Company

Title/Position with Holding Company
    Assistant Treasurer

Title/Position with Subsidiaries
    Director, Executive Vice President     Northern Bank & Trust Company
    Director, Treasurer      N.B. & T. Securities Corp. II
    Director, Clerk          N.B. & T. Securities Corp. IV
    Manager                  NBTC Holdings, LLC, NBTC Sudbury LLC, NBTC Great Road, LLC
    Director, Clerk          Northern Bank & Trust Charitable Foundation, Inc.

Title/Position with Other Businesses
    Chairman             Woburn Redevelopment Authority
    Director              Woburn Community Educational Foundation, Inc. (Treasurer)
    Officer               Woburn Development Corp. (President)

Percentage of Voting Shares in Holding Company
    .01%                Donald P. Queenin

Percentage of Voting Shares in Subsidiaries
    N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
    N/A

Mary Catherine Riley (Secretary)
     Princeton, NJ

    Principal Occupation
    None
Title/Position with Holding Company
Secretary

Title/Position with Subsidiaries
Director, Secretary Northern Bank & Trust Company

Title/Position with Other Businesses
Director Mawn and Mawn, P.C.
Trustee Mawn Family Children’s Trust, Marital Trust under the
James J. Mawn Revocable Trust, Family Trust under the
James J. Mawn Revocable Trust
Limited Partner Mawn Family Limited Partnership

Percentage of Voting Shares in Holding Company
*See James Mawn Group

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Francis Kenney (Chief Financial Officer)
Peabody, MA
Principal Occupation
Executive Vice President/CFO Northern Bank & Trust Company

Title/Position with Holding Company
Chief Financial Officer

Title/Position with Subsidiaries
Executive Vice President/Treasurer/CFO Northern Bank & Trust Company
President N.B. & T. Securities Corp. II
Treasurer N.B. & T. Securities Corp. IV, Northern 1031 Exchange LLC
Manager NBTC Realty, LLC, NBTC Holdings, LLC, NBTC
Sudbury LLC, NBTC Great Road, LLC
Treasurer Northern Bank & Trust Charitable Foundation, Inc.
Trustee 289 Great Road Realty Trust

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company
N/A
Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

James Mawn Group (Principal Shareholder)
Woburn, MA

Principal Occupation
N/A

Title/Position with Holding Company
Principal Shareholder

Title/Position with Subsidiaries
N/A

Title/Position with Other Businesses
N/A

<table>
<thead>
<tr>
<th>Percentage of Voting Shares in Holding Company</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>11.54%</td>
<td>Mawn Family Limited Partnership (General Partners: James J. Mawn, Jr. and Marilyn C. Mawn)</td>
</tr>
<tr>
<td>5.21%</td>
<td>Marital Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn)</td>
</tr>
<tr>
<td>5.12%</td>
<td>James J. Mawn (Jr.)</td>
</tr>
<tr>
<td>2.97%</td>
<td>Family Trust under the James J. Mawn Revocable Trust (Trustees: Rita M. Mawn, James J. Mawn, M. Catherine Riley, Alicia J. Mawn-Mahlau and Marilyn C. Mawn)</td>
</tr>
<tr>
<td>2.34%</td>
<td>Mawn Family Children’s Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau)</td>
</tr>
<tr>
<td>2.22%</td>
<td>Mawn Family Children’s Trust (Trustees: James J. Mawn, Marilyn Mawn, Mary Catherine Riley and Alicia J. Mawn-Mahlau)</td>
</tr>
<tr>
<td>2.11%</td>
<td>Northern Bank &amp; Trust Company, Trustee for James J. Mawn, IRA</td>
</tr>
<tr>
<td>1.81%</td>
<td>Mary Catherine Riley</td>
</tr>
<tr>
<td>1.62%</td>
<td>Sheila E. Carpenter</td>
</tr>
<tr>
<td>1.12%</td>
<td>Cede &amp; Co. FBO Joseph A. Riley, IRA</td>
</tr>
<tr>
<td>1.07%</td>
<td>Northern Bank &amp; Trust Company, Trustee for Sam A. Mawn-Mahlau, IRA</td>
</tr>
<tr>
<td>.87%</td>
<td>Alicia J. Mawn-Mahlau</td>
</tr>
<tr>
<td>.35%</td>
<td>Northern Bank Trust &amp; Company, Trustee for M. Catherine Riley, IRA</td>
</tr>
<tr>
<td>.21%</td>
<td>Louise Mawn</td>
</tr>
</tbody>
</table>

1 Alicia J. Mawn-Mahlau also owns a .07% beneficial interest in the Mawn and Mawn P.C. 401(k) Plan over which she has no power to vote.
.07% Mary Negri and Dominič R. Negri
.05% Marilyn Mawn
.04% Rita M. Barger
.03% Rita M. Mawn
.01% James J. Mawn, Jr.

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A

Dorothy Mawn Group (Principal Shareholder)
Woburn, MA

Principal Occupation
N/A

Title/Position with Holding Company
Principal Shareholder

Title/Position with Subsidiaries
N/A

Title/Position with Other Businesses
N/A

Percentage of Voting Shares in Holding Company

13.47% Thomas M. Mawn, Jr., Trust Fund B (Trustees: Dorothy M. Mawn, Mary Elizabeth Mawn-Ferullo and Catherine A. Webb)
8.96% Dorothy Mawn Grandchildren’s Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn)
5.44% Thomas and Dorothy Mawn Family Limited Partnership (General Partners: Russell A. Mawn and Mary Elizabeth Mawn-Ferullo)
1.72% Mary Elizabeth Mawn-Ferullo
.54% Dorothy Mawn Family Children’s Trust (Trustees: Mary Elizabeth Mawn-Ferullo and Russell A. Mawn)
.22% J. Lawrence Mawn
.21% Dorothy M. Mawn (Personal Representative: Mary Elizabeth Mawn-Ferullo)
.09% Russell A. Mawn

Note: Mary Elizabeth Mawn-Ferullo has the power to vote the following in the capacity noted:

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2 Mary Elizabeth Mawn also owns a .64% beneficial interest in Mawn and Mawn P.C. 401(k) Plan over which she has no additional power to vote, other than in her capacity as co-trustee with Barbara E. Mearls.
.78% Mawn and Mawn P.C. 401(k) Plan (Trustees: Mary Elizabeth Mawn-Ferullo and Barbara E. Mearls)

Percentage of Voting Shares in Subsidiaries
N/A

Percentage of Voting Shares in Other Companies of 25% or More is Held
N/A