

For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

First Community Bancorp Inc
Legal Title of Subsidiary Holding Company

299 Whalley Ave
(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

New Haven Connecticut 06511
City State Zip Code

Physical Location (if different from mailing address)

Legal Title of Subsidiary Holding Company

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

Physical Location (if different from mailing address)

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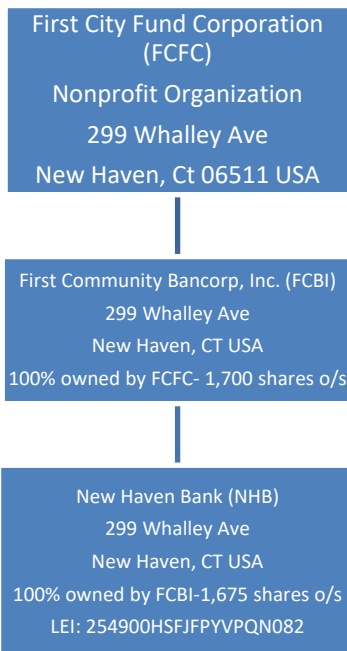
Physical Location (if different from mailing address)

ITEM 2A

ORGANIZATION STRUCTURE

The principal organizing entity of the Bank is First City Fund Corporation (FCFC), a charitable nonprofit foundation whose charter mandates the formation of a locally based community bank to assist in the economic development and progress of the City of New Haven. FCFC provided the initial capitalization of the Bank through First City Bancorp Inc (FCBI), an intermediate holding company that owns 100% of the Bank's voting shares. FCBI is 100% owned by FCFC. Both FCFC and FCBI have received approval from the Federal Reserve Bank of Boston to become bank holding companies under the Bank Holding Company Act.

The organization structure of the Corporation/Bancorp/Bank is shown below. There are no other entities associated with the companies. LEI number is not applicable unless otherwise noted.



All three companies are incorporated in the state of Connecticut.

Item 2b Domestic Branch Listing

Results: A list of branches for your depository institution: **NEW HAVEN BANK (ID_RSSD: 3843392)**.

This depository institution is held by **FIRST CITY FUND CORPORATION (4151012)** of **NEW HAVEN, CT**.

The data are as of **12/31/2021**. Data reflects information that was received and processed through **01/12/2022**.

Reconciliation and Verification Steps

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the **Effective Date** column

Actions

OK: If the branch information is correct, enter 'OK' in the **Data Action** column.

Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.

Close: If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.

Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:

To satisfy the **FR Y-10 reporting requirements**, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
OK		Full Service (Head Office)	3843392	NEW HAVEN BANK	299 WHALLEY AVENUE	NEW HAVEN	CT	06511	NEW HAVEN	UNITED STATES	Not Required	Not Required	NEW HAVEN BANK	3843392	

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December 31, 2021

Item #3

Security Holders

First City Fund Corporation

First City Fund Corporation is a not for profit Corporation and as such there are no security holders.

First Community Bancorp, Inc.

First Community Bancorp is 100% owned by its parent, First City Fund Corporation. There are no other security holders of First Community Bancorp.

Item 4 Insiders

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First City Fund Corporation

ITEM #4 Insiders

<u>Name, City, State, Country</u>	<u>Title & Position with Bank Holding Company</u>	<u>Title & Position with Subsidiaries</u>	<u>Title and Position with Other Businesses</u>	<u>Principal Occupation if other than with the Bank Holding Company</u>	<u>Percentage of Voting Shares in Bank Holding Company</u>	<u>Percentage of Voting Shares in Subsidiary</u>	<u>List names of other companies (incl partnerships) if 25% or more if voting securities are held</u>
John DeStefano Jr., New Haven CT. USA	Director and Secretary	Director: FCBI, New Haven Bank	N/A	Retired	N/A	N/A	None
Mark G. Sklarz New Haven CT. USA	Director and President	N/A	N/A	Partner, Green & Sklarz	N/A	N/A	None
Rolan Joni Young, Woodbridge CT. USA	Director	Director and Chair, FCBI & New Haven Bank	N/A	Partner, McCarter & English, LLP	N/A	N/A	None
Charles Negaro, New Haven CT. USA	Director	Director and Secretary, FCBI & New Haven Bank	N/A	Chairman, Atticus Bakery, LLC	N/A	N/A	Atticus Bakery, LLC - 100%, TPA Books Inc- 50%, HDM Realty - 100%
Karen DuBois Walton, New Haven CT. USA	Director	N/A	N/A	President, Elm City Communities/Housing Authority of the City of New Haven	N/A	N/A	Wise Dog Strategic Solutions, LLC 50%
Robin Golden, New Haven CT. USA	Director and Treasurer	N/A	N/A	Strategic Consultant	N/A	N/A	None
David Newton, New Haven CT. USA	Director and Vice President	Director, FCBI & New Haven Bank	N/A	President, Elm Advisors, LLC	N/A	N/A	Elm Advisors, LLC 100%

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<u>Name, City, State, Country</u>	<u>Title & Position with Bank Holding Company</u>	<u>Title & Position with Subsidiaries</u>	<u>Title and Position with Other Businesses</u>	<u>Principal Occupation if other than with the Bank Holding Company</u>	<u>% of Voting Shares in Bank Holding Company</u>	<u>% of Voting Shares in Subsidiary</u>	<u>List names of other companies (incl partnerships) if 25% or more of voting securities are held</u>
John DeStefano Jr., New Haven CT. USA	Director	Director, New Haven Bank	N/A	Retired	N/A	N/A	None
Michael Schaffer, New Haven CT. USA	Director and Vice Chair	Director & Vice Chair: New Haven Bank	N/A	Secretary, CA White	N/A	N/A	None
Erik Clemons, New Haven CT. USA	Director	Director, New Haven Bank	N/A	ConnCAT, Founding CEO & President	N/A	N/A	None
Rolan Joni Young, Woodbridge CT. USA	Director and Chair	Director and Chair, New Haven Bank	N/A	Partner, McCarter & English, LLP	N/A	N/A	None
Charles Negaro, New Haven CT. USA	Director and Secretary	Director and Secretary, New Haven Bank	N/A	Chairman, Atticus Bakery, LLC	N/A	N/A	Atticus Bakery, LLC - 100%, TPA Books Inc- 50%, HDM Realty - 100%
Sailesh Atluru, Sarasota, FL. USA	Director	Director, New Haven Bank	N/A	President & CEO, DTC Corp	N/A	N/A	291 Humphey, LLC - 100% DTC Corp - 84%
Joseph Natarelli, Madison, CT. USA	Director	Director, New Haven Bank	N/A	Managing Partner, Marcum, LLP	N/A	N/A	None
David Newton, New Haven CT. USA	Director	Director, New Haven Bank	N/A	President, Elm Advisors, LLC	N/A	N/A	Elm Advisors, LLC 100%

Item 4 Insiders

First Community Bancorp

ITEM #4 Insiders, continued

<u>Name, City, State, Country</u>	<u>Title & Position with Bank Holding Company</u>	<u>Title & Position with Subsidiaries</u>	<u>Title and Position with Other Businesses</u>	<u>Principal Occupation if other than with the Bank Holding Company</u>	<u>% of Voting Shares in Bank Holding Company</u>	<u>% of Voting Shares in Subsidiary</u>	<u>List names of other companies (incl partnerships) if 25% or more if voting securities are held</u>
Anika Singh Lemar Haven CT. USA	Director	Director, New Haven Bank	N/A	Clinical Associate Professor of Law, Yale University	N/A	N/A	None
Maureen Frank Waterbury, CT. USA	Director, President and CEO	Director, President & CEO, New Haven Bank	N/A	President and CEO, New Haven Bank	N/A	N/A	None
Michael Kinne Naugatuck, CT. USA	Senior Vice President and CFO	Senior Vice President & CFO, New Haven Bank	N/A	Senior Vice President and CFO, New Haven Bank	N/A	N/A	None
Thomas Whitbread Hamden, CT. USA	Senior Vice President and CLO	Senior Vice President & CLO, New Haven Bank	N/A	Senior Vice President and CLO, New Haven Bank	N/A	N/A	None
Steven Araujo, Wallingford, CT. USA	Senior Vice President Risk Management, Operations & IT	Senior Vice President Risk Management, Operations & IT, New Haven Bank	N/A	SVP Risk Management, Operations & IT, New Haven Bank	N/A	N/A	None