

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This report is required by law: Section 5(c)(1) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)); section 10(b)(2) of the Home Owners' Loan Act (12 U.S.C. § 1467a(b)(2)); sections 102 (a)(1), 165, and 618 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (12 U.S.C. §§ 5311(a)(1), 5365, and 1850a(c)(1)); and sections 8(a) and 13(a) of the International Banking Act of 1978 (12 U.S.C. §§ 3106(a) and 3108(a)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2022

Month / Day / Year

Reporter's Name, Street, and Mailing Address

42 North Private Holdings, Inc.

Legal Title of Holding Company

250 Royall Street, Suite 305E

(Mailing Address of the Holding Company) Street / P.O. Box

Canton	MA	02021
City	State	Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Ryan J. Trost AVP and Sr. Paralegal

Name Title

617-620-3251

Area Code / Phone Number / Extension

n/a

Area Code / FAX Number

rtrost@42northprivate.com

E-mail Address

https://www.42northprivate.com/

Address (URL) for the Holding Company's web page

I, William S. Buccella

Name of the Holding Company Director and Official

CEO and Director

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

W. S. Buccella

Signature of Holding Company Director and Official

3.30.23

Date of Signature

For Federal Reserve Bank Use Only

RSSD ID _____
 C.I. _____

Is confidential treatment requested for any portion of this report submission? 0=No 1=Yes 0

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report

2. a letter justifying this request has been provided separately ...

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Report Item 1: Annual Report to Shareholders

For holding companies not registered with the SEC, indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
 will be sent under separate cover
 is not prepared

Checklist

The checklist below is provided to assist the holding company in filing all the necessary responses and verifying changes from the prior year to the various report items. The completed checklist should be submitted with the report. Please see section A of the General Instructions for additional guidance.

Verification of Changes

All Reporters must respond to the following questions by checking the Yes or No box below, as appropriate.

Did the holding company have changes to any reportable FR Y-6 items (2a, 2b, 3, or 4) from the prior year?

- Yes No

If checked Yes, complete the remaining checklist for Report Items 2a, 2b, 3, and 4. For each Report Item, indicate whether there are changes from the prior year by checking Yes or No below. See section A of the General Instructions for additional information.

Report Item 2a: Organization Chart

- Yes No

If checked Yes, the Reporter must submit the organization chart as specified in Report Item 2.a instructions.

Report Item 2b: Domestic Branch Listing

- Yes No

If checked Yes, the Reporter must submit the domestic branch listing as specified in Report Item 2.b instructions.

Report Item 3: Securities Holders

- Yes No

If checked Yes, the Reporter must submit the information as specified in Report Item 3 instructions.

Report Item 4: Insiders

- Yes No

If checked Yes, the Reporter must submit the information as specified in Report Item 4 instructions.

For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

Legal Title of Subsidiary Holding Company

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

Physical Location (if different from mailing address)

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City State Zip Code

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Form FR Y-6

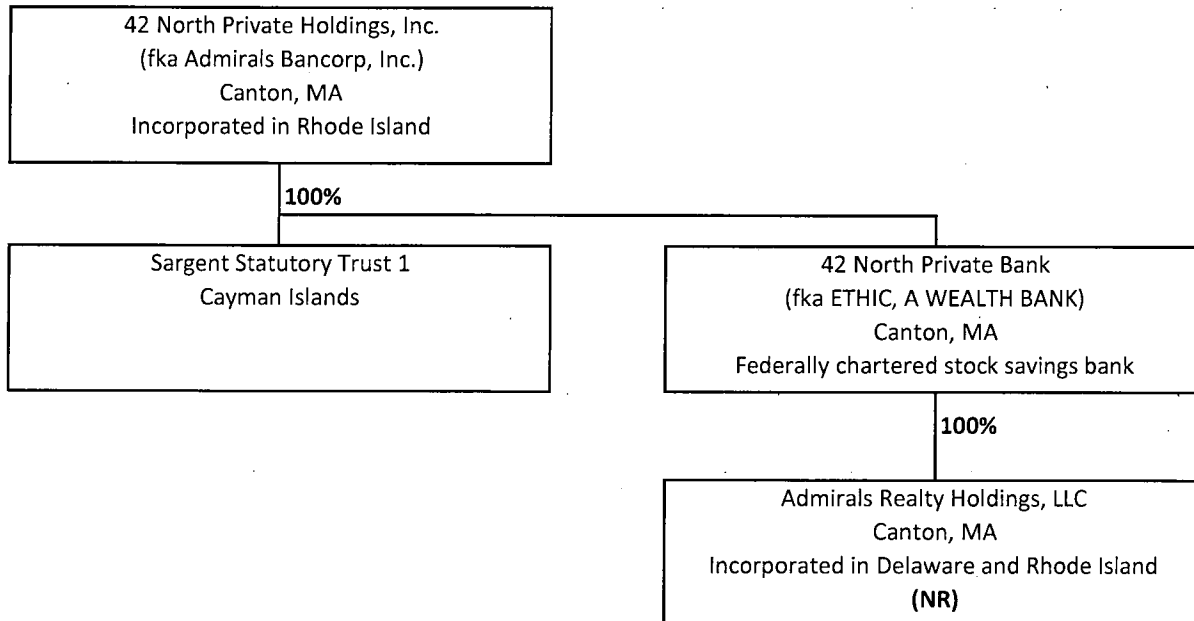
42 North Private Holdings, Inc.
Canton, MA
Fiscal Year Ending December 31, 2022

Report Item

1: 42 North Private Holdings, Inc, the operational top tier bank holding company is not registered with the SEC. An annual report for shareholders is not prepared.

2a: Organizational Chart

LEI is not applicable unless otherwise noted.



Results: A list of branches for your depository institution: 42 NORTH PRIVATE BANK (ID_RSSD: 541307).
 This depository institution is held by 42 NORTH PRIVATE HOLDINGS, INC of CANTON, MA.
 The data are as of 12/31/2022.

Reconciliation and Verification Steps

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the **Effective Date** column

Actions

- OK: If the branch information is correct, enter 'OK' in the **Data Action** column.
- Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.
- Close: If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.
- Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.
- Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:
 To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of Change, Close, Delete, or Add.
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
CHANGE	11/30/2022	Head Office and Cashless Branch	541307	42 NORTH	250 ROYALL STREET, SUITE 305E	CANTON	MA	02021	NORFOLK	UNITED STATES	36457	0	42 NORTH	541307	Cashless Branch and Head Office.
CLOSE	11/30/2022	Office and Cashless Branch	212457A	ETHIC	935 JEFFERSON BLVD	WARWICK	RI	02886	KENT	UNITED STATES	36457	0	ETHIC	541307	Cashless Branch and Operations.

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42 North Private Holdings, Inc.
Fiscal Year Ending December 31, 2022

Report Item 3: Securities holders
(1)(a)(b)(c) and 2(a)(b)(c)

Current securities holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12/31/22				Securities holders not listed in 3(1)(a) through 3(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12/31/22		
(1)(a)	1(b)	1(c)		(1)(a)	1(b)	1(c)
Name, City, State, Country	Country of Citizenship or Incorporation	Number and Percentage of Each Class of Voting Securities		Name, City, State, Country	Country of Citizenship or Incorporation	Number and Percentage of Each Class of Voting Securities
Pawson Capital Fund 1, LP Greenwich, CT	USA	1,627,895	9.92% Common Stock			
David Roux Reston, VA	USA	1,452,077	8.85% Common Stock			
Spence Limited, LP Blakely, GA	USA	1,623,729	9.90% Common Stock			
Joel S. Lawson IV Berwyn, PA	USA	1,092,208	6.66% Common Stock			
Trust Family Newton, MA	USA	1,628,937	9.93% Common Stock			
Hildene Opportunities Master Fund II, LTD Stamford, CT	USA	999,470	6.09% Common Stock			
Kevin Sheehan Boston, MA	USA	849,023	5.17% Common Stock			
Raptor Capital Management LP Boston, MA	USA	845,885	5.16% Common Stock			

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42 North Private Holdings, Inc.
Fiscal Year Ending December 31, 2022

Report Item 4: Insiders

(1), (2), (3)(a)(b)(c), and (4)(a)(b)(c)

(1) Name, City, State, Country	(2) Principal Occupation if other than with Holding Company	(3)(a) Title & Position with Holding Company	(3)(b) Title & Position with Subsidiaries (include names of subsidiaries)	(3)(c) Title and Position with Other Businesses (include names of other businesses)	(4)(a) Percentage of Voting Shares in Holding Company	(4)(b) Percentage of Voting Shares in Subsidiaries (include names of subsidiaries)	(4)(c) List names of other companies (includes partnerships) if 25% or more voting securities are held (List names of companies and percentage of voting securities held)
Brian Barefoot Vero Beach, FL, USA	N/A	Board Member	Board Member 42 North Private Bank	N/A	0.79%	N/A	N/A
William S. Buccella Norfolk, MA, USA	N/A	CEO & Board Member	President, CEO & Board Member 42 North Private Bank	N/A	0.51%	N/A	N/A
Churchill Franklin Cornwall, VT, USA	Executive Chair Acadian Asset Mgmt.	Board Member	Board Member 42 North Private Bank	Executive Chair Acadian Asset Mgmt.	4.94%	N/A	N/A
Seth Kurland Livingston, NJ, USA	Director of Research Hildene Capital Management	Board Member	Board Member 42 North Private Bank	Director of Research Hildene Capital Management	0.00%	N/A	N/A
Hope W. Lundt Brentwood, TN, USA	Managing Partner Spence Limited, LP	Board Member	Board Member 42 North Private Bank	Managing Partner Spence Limited, LP	9.90%	N/A	JH Property Investments LLC 50% (equal shares with spouse)
Rocco Maggiotto Jupiter, FL, USA	Chief Executive Officer PWRcierge LLC	Board Member	Board Member 42 North Private Bank	Chief Executive Officer PWRcierge LLC	2.17%	N/A	N/A
Peter Monaco Winchester, MA, USA	Managing Director Raptor Group Holdings	Board Member	Board Member 42 North Private Bank	Managing Director Raptor Group Holdings	0.41%	N/A	N/A
Jack Thompson Branford, CT, USA	Chief Executive Officer Pawson Capital Mgmt, LLC	Board Member	Board Member 42 North Private Bank	Pawson Capital Management, LLC, Chief Executive Officer and Managing Member Pawson Capital GP I, LLC, Managing Member Pawson Capital Fund I, LP, Managing Member	9.92%	N/A	Pawson Capital Management, LLC, 99% Pawson Capital GP I, LLC, 75% Pawson Capital Fund I, LP 75%
Laura Trust Brookline, MA, USA	N/A	Board Member	Board Member 42 North Private Bank	Julian's Cheesecakes Inc., President SVB Bagel Makers of Boston, Inc., President The Never Never Company, President 3N LLC, President EEFORALL, LLC, Manager Global Early Education, LLC, Manager Center for Partnership in Early Education, Inc., President TDesign Studio (HK) Limited, Director Stretchline Holdings Limited, Director Stretchline (Singapore) Private Ltd, Director Fantasia Narrow FabricsPvt Ltd, Director Stretchline (Hong Kong) Ltd, Director PT Stretchline, Director Stretchline (Mauritius) Limited Stretchline de Mexico S de RL de CV, Director Intimark S de RL de Cv, Director Brandix India Apparel City Private Ltd, Director Samtex USA Inc, President Jacob Industries USA LLC, President Visag Ventures LLC, President Scraps Holdings LLC, Manager Omnidot USA LLC, President Marshal Fund 1 LLC, Manager	9.93%	N/A	Julian's Cheesecakes, 100% SVB Bagel Makers of Boston, Inc: 100% The Never Never Company, 50.00% 3N LLC, 50.00% Global Early Education, LLC, 50.00% Center for Partnership in Early Education, Inc., 100% Tdesign Studio (HK) Limited, 50.00% Stretchline Holdings Limited, 33.33% Stretchline de Mexico S de RL de CV, 25.00% Intimark S de RL de Cv, 45.27% Scraps Holdings LLC, 50.00% Omnidot USA LLC, 50.00% Marshal Fund 1 LLC, 50.00%
Dimitri J. Nionakis Milton, MA, USA	N/A	EVP, General Counsel and Secretary	EVP, CLO & CAO 42 North Private Bank	Manager KAMMAK INVESTMENTS LLC	1.69%	N/A	N/A
Timothy L. Buckley Hanover, MA, USA	N/A	EVP & Chief Financial Officer	EVP & Chief Financial Officer	N/A	0.51%	N/A	N/A