

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This report is required by law: Section 5(c)(1) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)); section 10(b)(2) of the Home Owners' Loan Act (12 U.S.C. § 1467a(b)(2)); sections 102 (a)(1), 165, and 618 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (12 U.S.C. §§ 5311(a)(1), 5365, and 1850a(c)(1)); and sections 8(a) and 13(a) of the International Banking Act of 1978 (12 U.S.C. §§ 3106(a) and 3108(a)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2022

Month / Day / Year

Reporter's Name, Street, and Mailing Address

First City Fund Corporation

Legal Title of Holding Company

299 Whalley Ave

(Mailing Address of the Holding Company) Street / P.O. Box

New Haven	CT	06511
City	State	Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Michael Kinne Assistant Secretary

Name Title

203-285-6876

Area Code / Phone Number / Extension

203-745-3074

Area Code / FAX Number

mkinne@newhavenbank.com

E-mail Address

Address (URL) for the Holding Company's web page

I, John DeStefano, Jr.

Name of the Holding Company Director and Official

Director and Secretary

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Signature of Holding Company Director and Official

Date of Signature

3/28/2023

For Federal Reserve Bank Use Only

RSSD ID _____
 C.I. _____

Is confidential treatment requested for any portion of this report submission? 0=No 1=Yes

In accordance with the General Instructions for this report (check only one),

- a letter justifying this request is being provided along with the report
- a letter justifying this request has been provided separately ...

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

Report Item 1: Annual Report to Shareholders

For holding companies not registered with the SEC, **indicate status of Annual Report to Shareholders:**

- is included with the FR Y-6 report
 will be sent under separate cover
 is not prepared

Checklist

The checklist below is provided to assist the holding company in filing all the necessary responses and verifying changes from the prior year to the various report items. The completed checklist should be submitted with the report. Please see section A of the General Instructions for additional guidance.

Verification of Changes

All Reporters must respond to the following questions by checking the Yes or No box below, as appropriate.

Did the holding company have changes to any reportable FR Y-6 items (2a, 2b, 3, or 4) from the prior year?

- Yes No

If checked Yes, complete the remaining checklist for Report Items 2a, 2b, 3, and 4. For each Report Item, indicate whether there are changes from the prior year by checking Yes or No below. See section A of the General Instructions for additional information.

Report Item 2a: Organization Chart

- Yes No

If checked Yes, the Reporter must submit the organization chart as specified in Report Item 2.a instructions.

Report Item 2b: Domestic Branch Listing

- Yes No

If checked Yes, the Reporter must submit the domestic branch listing as specified in Report Item 2.b instructions.

Report Item 3: Securities Holders

- Yes No

If checked Yes, the Reporter must submit the information as specified in Report Item 3 instructions.

Report Item 4: Insiders

- Yes No

If checked Yes, the Reporter must submit the information as specified in Report Item 4 instructions.

For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

First Community Bancorp Inc.

Legal Title of Subsidiary Holding Company

299 Whalley Ave

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

New Haven

City

CT

State

06511

Zip Code

Physical Location (if different from mailing address)

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(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

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City

State

Zip Code

Physical Location (if different from mailing address)

FR Y-6
12/31/2022
First City Fund Corporation

ITEM #4 Insiders

<u>Name, City, State, Country</u>	<u>Title & Position with Bank Holding Company</u>	<u>Title & Position with Subsidiaries</u>	<u>Title and Position with Other Businesses</u>	<u>Principal Occupation if other than with the Bank Holding Company</u>	<u>Percentage of Voting Shares in Bank Holding Company</u>	<u>Percentage of Voting Shares in Subsidiary</u>	<u>List names of other companies (incl partnerships) if 25% or more if voting securities are held</u>
John DeStefano Jr., New Haven CT. USA	Director and Secretary	Director: FCBI, New Haven Bank	N/A	Retired	N/A	N/A	None
Mark G. Sklarz New Haven CT. USA	Director and President	N/A	N/A	Partner, Green & Sklarz	N/A	N/A	None
Rolan Joni Young, Woodbridge CT. USA	Director	Director and Chair, FCBI & New Haven Bank	N/A	Partner, McCarter & English, LLP	N/A	N/A	None
Charles Negaro, New Haven CT. USA	Director	Director and Secretary, FCBI & New Haven Bank	N/A	Chairman, Atticus Bakery, LLC	N/A	N/A	Atticus Bakery, LLC - 80%, TPA Books Inc- 50%, HDM Realty - 100%
Karen DuBois Walton, New Haven CT. USA	Director	N/A	N/A	President, Elm City Communities/Housing Authority of the City of New Haven	N/A	N/A	Wise Dog Strategic Solutions, LLC 50%
Robin Golden, New Haven CT. USA	Director and Treasurer	N/A	N/A	Strategic Consultant	N/A	N/A	None
David Newton, New Haven CT. USA	Director and Vice President	Director, FCBI & New Haven Bank	N/A	President, Elm Advisors, LLC	N/A	N/A	Elm Advisors, LLC 100%

FR Y-6
12/31/2022
First Community Bancorp

ITEM #4 Insiders

<u>Name, City, State, Country</u>	<u>Title & Position with Bank Holding Company</u>	<u>Title & Position with Subsidiaries</u>	<u>Title and Position with Other Businesses</u>	<u>Principal Occupation if other than with the Bank Holding Company</u>	<u>% of Voting Shares in Bank Holding Company</u>	<u>% of Voting Shares in Subsidiary</u>	<u>List names of other companies (incl partnerships) if 25% or more of voting securities are held</u>
John DeStefano Jr., New Haven CT. USA	Director	Director, New Haven Bank	N/A	Retired	N/A	N/A	None
Michael Schaffer, New Haven CT. USA	Director and Vice Chair	Director & Vice Chair: New Haven Bank	N/A	Secretary, CA White	N/A	N/A	None
Erik Clemons, New Haven CT. USA	Director	Director, New Haven Bank	N/A	ConnCAT, Founding CEO & President	N/A	N/A	None
Rolan Joni Young, Woodbridge CT. USA	Director and Chair	Director and Chair, New Haven Bank	N/A	Partner, McCarter & English, LLP	N/A	N/A	None
Charles Negaro, New Haven CT. USA	Director and Secretary	Director and Secretary, New Haven Bank	N/A	Chairman, Atticus Bakery, LLC	N/A	N/A	Atticus Bakery, LLC - 80%, TPA Books Inc- 50%, HDM Realty - 100%
Sailesh Atluru, Sarasota, FL. USA	Director	Director, New Haven Bank	N/A	President & CEO, DTC Corp	N/A	N/A	Diversified Technology Consultants - 94%
Joseph Natarelli, Madison, CT. USA	Director	Director, New Haven Bank	N/A	Managing Partner, Marcum, LLP	N/A	N/A	None
David Newton, New Haven CT. USA	Director	Director, New Haven Bank	N/A	President, Elm Advisors, LLC	N/A	N/A	Elm Advisors, LLC 100%

First Community Bancorp

ITEM #4 Insiders, continued

<u>Name, City, State, Country</u>	<u>Title & Position with Bank Holding Company</u>	<u>Title & Position with Subsidiaries</u>	<u>Title and Position with Other Businesses</u>	<u>Principal Occupation if other than with the Bank Holding Company</u>	<u>% of Voting Shares in Bank Holding Company</u>	<u>% of Voting Shares in Subsidiary</u>	<u>List names of other companies (incl partnerships) if 25% or more if voting securities are held</u>
Anika Singh Lemar Haven CT. USA	Director	Director, New Haven Bank	N/A	Clinical Associate Professor of Law, Yale University	N/A	N/A	None
Maureen Frank Waterbury, CT. USA	Director, President and CEO	Director, President & CEO, New Haven Bank	N/A	President and CEO, New Haven Bank	N/A	N/A	None
Steven Araujo, Wallingford, CT. USA	Executive Vice President Risk Management, Operations & IT	Executive Vice President Risk Management, Operations & IT, New Haven Bank	N/A	Executive Vice President Risk Management, Operations & IT, New Haven Bank	N/A	N/A	None
Michael Kinne Naugatuck, CT. USA	Senior Vice President and CFO	Senior Vice President & CFO, New Haven Bank	N/A	Senior Vice President and CFO, New Haven Bank	N/A	N/A	None
Thomas Whitbread Hamden, CT. USA	Senior Vice President and CLO	Senior Vice President & CLO, New Haven Bank	N/A	Senior Vice President and CLO, New Haven Bank	N/A	N/A	None